# CHAPTER

# RENAISSANCE AND DISCOVERY

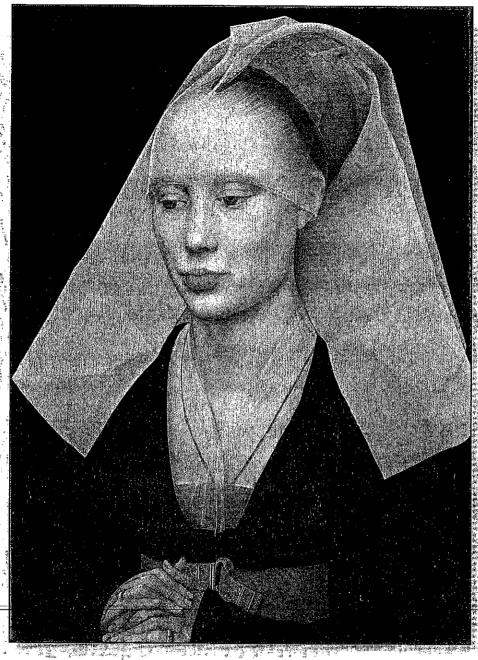


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### KEY TOPICS

- The politics, culture, and art of the Italian Renaissance
- Political struggle and foreign intervention in Italy
- The powerful new monarchies of northern Europe
- The thought and culture of the northern Renaissance

If the late Middle Ages saw unprecedented chaos, it also witnessed a rebirth that would continue into the seventeenth century. Two modern Dutch scholars have employed the same word (Herfsttij, or "harvesttide") with different connotations to describe the period. Johan Huizinga has used the word to mean a "waning" or "decline," and Heiko Oberman has used it to mean a "harvest." If something was dying away,



The Renaissance celebrated human beauty and dignity. Here the Flemish painter Rogier van der Weyden (1400–1464) portrays an ordinary woman more perfectly on canvas than she could ever have appeared in life. Rogier van der Weyden (Netherlandish, 1399.1400–1464), "Portrait of a Lady." 1460. .370 × .270 (14 1/16 × 10 5/8), framed: .609 × .533 × .114 (24 × 21 × 4 1/2). Photo: Bob Grove. Andrew W. Mellon Collection. Photograph © Board of Trustees, National Gallery of Art, Washington, D.C.

some ripe fruit was also being gathered and seed grain was being sown. The late Middle Ages was a time of creative fragmentation.

By the late fifteenth century, Europe was recovering well from two of the three crises of the late Middle Ages: the demographic and the political. The great losses in population were being replenished, and able monarchs and rulers were imposing a new political order. However, a solution to the religious

crisis, would have to await the Reformation and Counter-Reformation of the sixteenth century.

Although the opposite would be true in the sixteenth and seventeenth centuries, the city-states of Italy survived the century and a half between 1300 and 1450 better than the territorial states of northern Europe. This was due to Italy's strategic location between East and West and its lucrative Eurasian trade. Great wealth gave rulers and merchants the

ability to work their will on both society and culture. They became patrons of government, education, and the arts, always as much for their own self-aggrandizement as out of benevolence, for whether a patron was a family, a firm, a government, or the church, endowments enhanced their reputation and power. The result of such patronage was a cultural Renaissance in Italian cities unmatched elsewhere.

With the fall of Constantinople to the Turks in 1453, Italy's once unlimited trading empire began to shrink. City-state soon turned against city-state, and by the 1490s, French armies invaded Italy. Within a quarter century, Italy's great Renaissance had peaked.

The fifteenth century also saw an unprecedented scholarly renaissance. Italian and northern humanists made a full recovery of classical knowledge and languages and set in motion educational reforms and cultural changes that would spread throughout Europe in the fifteenth and sixteenth centuries. In the process the Italian humanists invented, for all practical purposes, critical historical scholarship, while exploiting a new fifteenth-century invention, the "divine art" of printing with movable type.

In this period the vernacular—the local language—began to take its place alongside Latin, the international language, as a widely used literary and political means of communication. In addition,

European states progressively superseded the church as the community of highest allegiance, as patriotism and incipient nationalism seized hearts and minds as strongly as religion. Nations henceforth "transcended" themselves not by journeys to Rome, but by competitive voyages to the Far East and the Americas, as the age of global exploration opened.

For Europe, the late fifteenth and sixteenth centuries were a period of unprecedented expansion and experimentation. Permanent colonies were established within the Americas, and the exploitation of the New World's human and mineral resources began. Imported American gold and silver spurred scientific invention and a new weapons industry. The new bullion also helped create the international traffic in African slaves as rival African tribes eagerly sold their captives to the Portuguese. Transported in ever-increasing numbers, these slaves worked the mines and plantations of the New World as replacements for American natives, whose population declined precipitously following the conquest.

The period also saw social engineering and political planning on a large scale, as newly centralized governments began to put long-range economic policies into practice, a development that came to be called mercantilism.



# THE RENAISSANCE IN ITALY (1375–1527)

A nineteenth-century Swiss historian famously described the Renaissance as the "prototype of the modern world." In his Civilization of the Renaissance in Italy (1860), Jacob Burckhardt argued that the revival of ancient learning in fourteenth- and fifteenth-century Italy gave rise to new secular and scientific values. This was the period in which people began to adopt a rational and statistical approach to reality and to rediscover the worth and creativity of the individual. The result, in Burckhardt's words, was the gradual release of the "full, whole nature of man."

Most scholars today find Burckhardt's description exaggerated and accuse him of overlooking the continuity between the Middle Ages and the Renaissance. His critics especially point to the still strongly Christian character of Renaissance humanism. Earlier "renaissances," such as that of the twelfth century, had also seen the revival of the ancient classics, new interest in the Latin language

and Greek science, and an appreciation of the worth and creativity of individuals.

Despite the exaggeration and bias of Burek-hardt's portrayal, most scholars agree that the Renaissance (which means "rebirth" in French) was a time of transition from medieval to modern times. Medieval Europe, especially before the twelfth century, had been a fragmented feudal society with an agricultural economy, and the church largely dominated its thought and culture. Renaissance Europe, especially after the fourteenth century, was characterized by growing national consciousness and political centralization, an urban economy based on organized commerce and capitalism, and growing lay and secular control of thought and culture, including religion.

Italy between 1375 and 1527, a century and a half of cultural creativity, most strikingly reveals the distinctive features of the Renaissance. Two events coincide with the beginning of this period: the deaths of Petrarch, considered the "father" of humanism, in 1374 and that of Giovanni Boccaccio, author of the *Decameron*, in 1375. Thereafter,

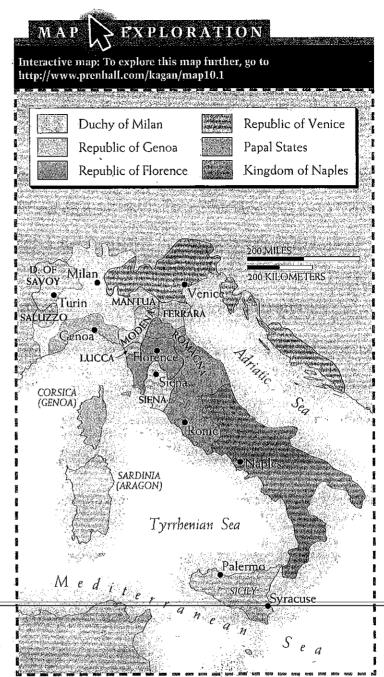
Florentine humanist culture spread throughout Italy and into northern Europe. Scholars have coined the term *civic humanism* to describe this apparent coalescence of humanism and civic reform.

This creative expansion, however, appeared to reach an abrupt end in 1527, when Spanish-imperial soldiers looted and torched Rome, recalling Rome's sacking by the Visigoths and Vandals in antiquity. At this time, French king Francis I and the Holy Roman Emperor Charles V had made Italy the battleground for their mutual dynastic claims to Burgundy and parts of Italy. Pope Clement VIII (r. 1523–1534) had incurred the emperor's wrath by taking the side of the French. However, the infamous sack of Rome in 1527 appears to have been less the result of a breach of papal loyalty than of the anger and boredom of Spanish-imperial soldiers poorly provisioned and paid by the emperor. Their looting and sacking have since marked the beginning of the end of the cultured Italian Renaissance.

#### THE ITALIAN CITY-STATE

Renaissance society first took distinctive shape within the merchant cities of late medieval Italy. Italy had always had a cultural advantage over the rest of Europe because its geography made it the natural gateway between East and West. Venice, Genoa, and Pisa had traded uninterruptedly with the Near East throughout the Middle Ages, maintaining vibrant urban societies by virtue of such trade. When commerce revived on a large scale in the eleventh century, Italian merchants had quickly mastered the business skills of organization, bookkeeping, scouting new markets, and securing monopolies. During the thirteenth and fourteenth centuries, trade-rich cities became powerful city-states, dominating the political and economic life of the surrounding countryside. By the fifteenth century, the great Italian cities were the bankers for much of Europe.

Growth of City-States The endemic warfare between pope and emperor and the Guelf (propapal) and Ghibelline (proimperial) factions this warfare spawned assisted the growth of Italian cities and urban culture. Either of these factions might successfully have subdued the cities had they permitted each other to concentrate on doing so. Instead, they chose to weaken one another, which strength-



Map 10–1 **Renaissance Italy** The city-states of Renaissance Italy were self-contained principalities whose internal strife was monitored by their despots and whose external aggression was long successfully controlled by treaty.

ened the merchant oligarchies of the cities, Unlike the great cities of northern Europe, which kings and territorial princes dominated, the great Italian cities remained free to expand on their own. Becoming independent states, they absorbed the surrounding countryside, assimilating the local nobility in a unique urban meld of old and new rich. Five such major, competitive states evolved: the duchy of Milan, the republics of Florence and Venice, the Papal States, and the kingdom of Naples. (See Map 10–1, page 319.)

Social strife and competition for political power became so intense within the cities that most evolved into despotisms just to survive. A notable exception was Venice, which was ruled by a successful merchant oligarchy. The Venetian government operated through a patrician senate of three hundred members and a ruthless judicial body. The latter, known as the Council of Ten, was quick to anticipate and suppress all rival groups.

Social Class and Conflict Florence was the most striking example of social division and anarchy. Four distinguishable social groups existed within the city. There was the old rich, or grandi, the nobles and merchants who traditionally ruled the city. The emergent newly rich merchant class, capitalists and bankers known as the popolo grosso, or "fat people," formed a second group. In the late thirteenth and early fourteenth centuries, they began to challenge the old rich for political powers. Then there were the middle-burgher ranks of guild masters, shop owners, and professionals, the smaller businesspeople who, in Florence, as elsewhere, tended to side with the new rich against the conservative policies of the old rich. Finally, there was the popolo minuto, or the "little people," the lower economic classes. In 1457, one-third of the population of Florence, about 30,000 people, was officially listed as paupers, that is, as having no wealth at all.

These social divisions produced conflict at every level of society, to which was added the everpresent fear of foreign intrigue. In 1378, a great uprising of the poor, known as the Ciompi Revolt, occurred. It resulted from a combination of three factors that made life unbearable for those at the bottom of society: the feuding between the old rich and the new rich; the social anarchy created when the Black Death cut the city's population almost in half; and the collapse of the great banking houses of Bardi and Peruzzi, which left the poor more vulnerable than ever. The Ciompi Revolt established a chaotic four-year reign of power by the lower Florentine classes. True stability did not return to Florence until the ascent to power of the Florentine banker and statesman, Cosimo de' Medici (1389-1464) in 1434.

Despotism and Diplomacy Cosimo de' Medici was the wealthiest Florentine and a natural statesman. He controlled the city internally from behind the scenes, manipulating the constitution and influencing elections. A council, first of six and later of eight members, known as the Signoria, governed the city. These men were chosen from the most powerful guilds, namely, those representing the major clothing industries (cloth, wool, fur, and silk) and such other groups as bankers, judges, and doctors. Through informal, cordial relations with the electors, Cosimo was able to keep councilors loyal to him in the Signoria. From his position as the head of the Office of Public Debt, he



Florentine women doing needlework, spinning, and weaving. These activities took up much of a woman's time and contributed to the elegance of dress for which Florentine men and women were famed. Palazzo Schifanoia, Ferrara. Alinari/Art Resource, NY

favored congenial factions. His grandson, Lorenzo the Magnificent (1449–1492; r. 1478–1492), ruled Florence in almost totalitarian fashion during the last, chaotic quarter of the fifteenth century. The assassination of his brother in 1478 by a rival family, the Pazzi, who had long plotted with the pope against the Medicis, made Lorenzo a cautious and determined ruler.

Despotism elsewhere was even less subtle. To prevent internal social conflict and foreign intrigue from paralyzing their cities, the dominant groups cooperated to install hired strongmen, or despots. Known as a *podestà*, the despot's sole purpose was to maintain law and order. He held executive, military, and judicial authority, and his mandate was direct and simple: to permit, by whatever means required, the normal flow of business activity without which neither the old rich and new rich, nor the poor of a city, could long survive, much less prosper. Because despots could not count on the loyalty of the divided populace, they operated through mercenary armies obtained through military brokers known as *condottieri*.

It was a hazardous job. Not only was a despot subject to dismissal by the oligarchies that hired him, he was also a popular object of assassination attempts. The spoils of success, however, were great. In Milan, it was as despots that the Visconti family came to power in 1278 and the Sforza family in 1450, both ruling without constitutional restraints or serious political competition.

Mercifully, the political turbulence and warfare of the times also gave birth to the art of diplomacy. Through their diplomats, the various city-states stayed abreast of foreign military developments and, when shrewd enough, gained power and advantage over their enemies without actually going to war. Most city-states established resident embassies in the fifteenth century for that very purpose. Their ambassadors not only represented them in ceremonies and at negotiations, they also became their watchful eyes and ears at rival courts.

Whether within the comparatively tranquil republic of Venice, the strong-arm democracy of Florence, or the undisguised despotism of Milan, the disciplined Italian city proved a congenial climate for an unprecedented flowering of thought and culture. Italian Renaissance culture was promoted as vigorously by despots as by republicans and as enthusiastically by secularized popes as by the more spiritually minded. Such widespread support occurred because the main requirement for patronage of the arts and letters was the one thing that Italian cities of the High Renaissance had in abundance: great wealth.

#### HUMANISM

Scholars still debate the meaning of the term humanism. Some see the Italian Renaissance as Burckhardt did, as the birth of modernity, driven by an un-Christian philosophy that stressed the dignity of humankind, individualism, and secular values: Others argue that the humanists were actually the champions of Catholic Christianity, opposing the pagan teaching of Aristotle and the Scholasticism his writings nurtured. For still others, humanism was a neutral form of historical scholarship adopted to promote above all a sense of civic responsibility and political liberty.

A leading scholar, Paul O. Kristeller, accused all of the above of dealing more with the secondary effects of humanism than with its essence. Humanism was not a philosophy or a value system, but an educational program built on rhetoric and scholarship for their own sake.

Each of these definitions has some truth. Humanism was the scholarly study of the Latin and Greek classics and of the ancient Church Fathers, both for its own sake and in the hope of reviving respected ancient norms and values. Humanists advocated the studia humanitatis, a liberal arts program of study embracing grammar, rhetoric, poetry, history, politics, and moral philosophy. Not only were these subjects considered a joy in themselves, they also celebrated the dignity of humankind and prepared people for a life of virtuous action. The Florentine Leonardo Bruni (ca. 1370-1444) first gave the name humanitas, or "humanity," to the learning that resulted from such scholarly pursuits. Bruni was a star student of Manuel Chrysoloras (ca. 1355-1415), the Byzantine scholar who opened the world of Greek scholarship to Italian humanists when he taught at Florence between 1397 and 1403.

The first humanists were orators and poets. They wrote original literature in both classical and vernacular languages, inspired by and modeled on the newly discovered works of the ancients. They also taught rhetoric within the universities. When humanists were not employed as teachers of rhetoric, princely and papal courts sought their talents as secretaries, speechwriters, and diplomats.

The study of classical and Christian antiquity had existed before the Italian Renaissance. There were memorable recoveries of ancient civilization during the Carolingian renaissance of the ninth century, within the cathedral school of Chartres in the twelfth century, during the great Aristotelian revival in Paris in the thirteenth century, and among the Augustinians in the early fourteenth century. These precedents, however, only partially

compare with the achievements of the Italian Renaissance of the fourteenth and fifteenth centuries. The latter was far more secular and lay-dominated, had much broader interests, was blessed with far more recovered manuscripts, and its scholars possessed far superior technical skills than those who had delivered the earlier "rebirths" of antiquity.

Unlike their Scholastic rivals, humanists were less bound to recent tradition; nor did they focus all their attention on summarizing and comparing the views of recognized authorities. Their most respected sources were classical and biblical, not medieval philosophers and theologians. Avidly searching out manuscript collections, Italian humanists made the full riches of Greek and Latin antiquity available to contemporary scholars. So great was their achievement that there is a kernel of truth in their assertion that the period between themselves and classical civilization was a "dark middle age."

Petrarch, Dante, and Boccaccio Francesco Petrarch (1304–1374) was the "father of humanism." He left the legal profession to pursue letters and poetry. Most of his life was spent in and around Avignon. He was involved in a popular revolt in Rome (1347–1349) and, in his later years, he served the Visconti family in Milan.

Petrarch celebrated ancient Rome in his Letters to the Ancient Dead, fancied personal letters to Cicero, Livy, Vergil, and Horace. He also wrote a Latin epic poem (Africa, a poetic historical tribute to the Roman general Scipio Africanus) and biographies of famous Roman men (Lives of Illustrious Men). His most famous contemporary work was a collection of highly introspective love sonnets to a certain Laura, a married woman he admired romantically from a safe distance.

His critical textual studies, elitism, and contempt for the learning of the Scholastics were features many later humanists also shared. As with many later humanists, Classical and Christian values coexist uneasily in his work. Medieval Christian values can be seen in his imagined dialogues with Saint Augustine and in tracts he wrote to defend the personal immortality of the soul against the Aristotelians.

Petrarch was, however, far more secular in orientation than his famous near-contemporary Dante Alighieri (1265–1321), whose *Vita Nuova* and *Divine Comedy* form, with Petrarch's sonnets, the cornerstones of Italian vernacular literature. Petrarch's student and friend Giovanni Boccaccio (1313–1375) was also a pioneer of humanist studies. His *Decameron*—one hundred often bawdy tales told by three men and seven women in a safe country retreat away from the plague that ravaged

Florence in 1348 (see Chapter 9)—is both a stinging social commentary (it exposes sexual and economic misconduct) and a sympathetic look at human behavior. An avid collector of manuscripts, Boccaccio also assembled an encyclopedia of Greek and Roman mythology.

Educational Reforms and Goals Humanists delighted in taking their mastery of ancient languages directly to the past, refusing to be slaves to later tradition. Such an attitude not only made them innovative educators, but also kept them constantly in search of new sources of information. In the search, they assembled magnificent manuscript collections, treating them as potent medicines for the ills of contemporary society, and capable of enlightening the minds of any who would immerse themselves in them.

The goal of humanist studies was wisdom eloquently spoken, both knowledge of the good and the ability to move others to desire it. Learning was not meant to remain abstract and unpracticed. "It is better to will the good than to know the truth," Petrarch taught, and it became a motto of many later humanists, who, like Petrarch, believed learning ennobled people. Pietro Paolo Vergerio (1349–1420), the author of the most influential Renaissance tract on education (On the Morals That Befit a Free Man) left a classic summary of the humanist concept of a liberal education:

We call those studies liberal which are worthy of a free man; those studies by which we attain and practice virtue and wisdom; that education which calls forth, trains, and develops those highest gifts of body and mind which ennoble men and which are rightly judged to rank next in dignity to virtue only, for to a vulgar temper, gain and pleasure are the one aim of existence, to a lofty nature, moral worth and fame.<sup>1</sup>

The ideal of a useful education and well-rounded people inspired far-reaching reforms in traditional education. The Roman orator Quintilian's (ca. 35–100) Education of the Orator, the complete text of which was discovered in 1416, became the basic classical guide for the humanist revision of the traditional curriculum. Vittorino da Feltre (d. 1446) exemplified the ideals of humanist teaching. Not only did he have his students read the difficult works of Pliny, Ptolemy, Terence, Plautus, Livy, and Plutarch, he also subjected them to vigorous physical exercise and games. Still another contemporary educator, Guarino da Verona (d. 1460), the rector of the new University of Ferrara and another student of Greek scholar Manuel Chrysoloras, streamlined the study of classical languages.

<sup>&</sup>lt;sup>1</sup>Cited by De Lamar Jensen, Renaissance Europe: Age of Recovery and Reconciliation (Lexington, MA: D.C. Health, 1981), p. 111.

# CHRISTINE DE PISAN INSTRÚCTS WOMEN ON HOW TO HANDLE THEIR HUSBANDS



Renowned Renaissance noblewoman Christine de Pisan has the modern reputation of being perhaps the first feminist, and her book, The Treasure of the City of Ladies (also known as The Book of Three Virtues), has been described as the Renaissance woman's survival manual. Here she gives advice to the wives of artisans.

How does Christine de Pisan's image of husband and wife compare with other medieval views? Would the church question her advice? As a noblewoman commenting on the married life of artisans, does her high social standing influence her advice? Would she give similar advice to women of her own social class?

A ll wives of artisans should be very painstaking and diligent if they wish to have the necessities of life. They should encourage their husbands or their workmen to get to work early in the morning and work until late. . . . [And] the wife herself should [also] be involved in the work to the extent that she knows all about it, so that she may know how to oversee his workers if her husband is absent, and to reprove them if they do not do well. . . . And when customers come to her husband and try to drive a hard bargain, she ought to warn him solicitously to take care that he does not make a bad deal. She should advise him to be chary of giving too much credit if he does not know pre-

cisely where and to whom it is going, for in this way many come to poverty. . . .

In addition, she ought to keep her husband's love as much as she can, to this end: that he will stay at home more willingly and that he may not have any reason to join the foolish crowds of other young men in taverns and indulge in unnecessary and extravagant expense, as many tradesmen do, especially in Paris. By treating him kindly she should protect him as well as she can from this. It is said that three things drive a man from his home: a quarrelsome wife, a smoking fireplace, and a leaking roof. She too ought to stay at home gladly and not go off every day traipsing hither and yon gossiping with the

Despite the grinding scholarly process of acquiring ancient knowledge, humanistic studies were not confined to the classroom. As Baldassare Castiglione's (1478–1529) Book of the Courtier illustrates, the rediscovered knowledge of the past was both a model and a challenge to the present. Written as a practical guide for the nobility at the court of Urbino, a small duchy in central Italy, it embodies the highest ideals of Italian humanism. The successful courtier is said to be one who knows how to integrate knowledge of ancient languages and history with athletic, military, and musical skills, while at the same time practicing good manners and exhibiting a high moral character.

Privileged, educated noblewomen also promoted the new education and culture at royal courts. Among them was Christine de Pisan (1363?–1434), the Italian-born daughter of the physician and astrologer of French king Charles V. She became an expert in classical, French, and Italian languages

and literature. Married at fifteen and the widowed mother of three at twenty-seven, she wrote lyric poetry to support herself and was much read throughout the courts of Europe. Her most famous work, The Treasure of the City of Ladies, is a chronicle of the accomplishments of the great women of history. (See "Christine de Pisan Instructs Women on How to Handle Their Husbands.")

The Florentine "Academy" and the Revival of Platonism Of all the important recoveries of the past made during the Italian Renaissance, none stands out more than the revival of Greek studies—especially the works of Plato—in fifteenth-century Florence. Many factors combined to bring this revival about. A foundation, already mentioned above, was laid in 1397 when the city invited Manuel Chrysoloras to come from Constantinople to promote Greek learning. A half century later

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Christine de Pisan, who has the modern reputation of being the first European feminist, presents her internationally famous book, "The Treasure of the City of Ladies," also known as "The Book of Three Virtues," to Isabella of Bavaria amid her ladies in waiting. Historical Picture Archive/CORBIS/Bettmann



neighbours and visiting her chums to find out what everyone is doing. That is done by slovenly housewives roaming about the town in groups.

Nor should she go off on these pilgrimages got up for no good reason and involving a lot of needless expense.

From The Treasure of the City of Ladies by Christine de Pisan, translated by Sarah Lawson (Penguin, 1985), pp. 167–168. Copyright © Sarah Lawson, 1985. Reproduced by permission of Penguin Books Ltd.

(1439), the ecumenical Council of Ferrara-Florence, convened to negotiate the reunion of the Eastern and Western churches, opened the door for many Greek scholars and manuscripts to pour into the West. After the fall of Constantinople to the Turks in 1453, many Greek scholars fled to Florence for refuge. This was the background against which the Florentine Platonic Academy evolved under the patronage of Cosimo de' Medici and the supervision of Marsilio Ficino (1433–1499) and Pico della Mirandola (1463–1494).

Renaissance thinkers were especially attracted, to the Platonic tradition and to those Church Fathers who tried to synthesize Platonic philosophy with Christian teaching. The so-called Florentine Academy was actually not a formal school, but an informal gathering of influential Florentine humanists devoted to the revival of the works of Plato and the Neoplatonists: Plotinus, Proclus, Porphyry, and Dionysius the Areopagite. To this

end, Ficino edited and published the complete works of Plato.

The appeal of **Platonism** lay in its flattering view of human nature. It distinguished between an eternal sphere of being and the perishable world in which humans actually lived. Human reason was believed to belong to the former—to have preexisted in this pristine world and still to commune with it, to which human knowledge of eternal mathematical and moral truth bore direct witness.

Strong Platonic influence is evident in Pico's Oration on the Dignity of Man, perhaps the most famous Renaissance statement on the nature of humankind. (See "Pico della Mirandola States the Renaissance Image of Man," page 326.) Pico wrote the Oration as an introduction to his pretentious collection of nine hundred theses. Published in Rome in December 1486, the theses were intended to serve as the basis for a public debate on all of life's important topics. The Oration drew on

# PICO DELLA MIRANDOLA STATES THE RENAISSANCE IMAGE OF MAN



One of the most eloquent descriptions of the Renaissance image of human beings comes from the Italian humanist Pico della Mirandola (1463–1494). In his famed Oration on the Dignity of Man (ca. 1486), Pico describes humans as free to become whatever they choose.

How great, really, is the choice outlined here? Are the basic options limited? Do they differ from what the church thought life's possibilities were? Is the concept of freedom in this passage a modern one?

The best of artisans [God] ordained that that L creature (man) to whom He had been able to give nothing proper to himself should have joint possession of whatever had been peculiar to each of the different kinds of being. He therefore took man as a creature of indeterminate nature and, assigning him a place in the middle of the world, addressed him thus: "Neither a fixed abode nor a form that is thine alone nor any function peculiar to thyself have we given thee, Adam, to the end that according to thy longing and according to thy judgment thou mayest have and possess what abode, what form, and what functions thou thyself shalt desire. The nature of all other beings is limited and constrained within the bounds of laws prescribed by Us. Thou, constrained by no limits, in accordance with thine own free will, in whose hand We have placed thee, shall ordain for thyself the limits of thy nature. We have set thee at the world's center that thou mayest from thence more easily observe whatever is in the world. We have made thee neither of heaven nor of earth, neither mortal nor immortal, so that with freedom of choice and with honor, as though the maker and molder of thyself, thou mayest fashion thyself in whatever shape thou shalt prefer. Thou shalt have the power to degenerate into the lower forms of life, which are brutish. Thou shalt have the power, out of thy soul's judgment, to be reborn into the higher forms, which are divine." O supreme generosity of God the Father, O highest and most marvelous felicity of man! To him it is granted to have whatever he chooses, to be whatever he wills.

Giovanni Pico della Mirandola, Oration on the Dignity of Man, in The Renaissance Philosophy of Man, ed. by E. Cassirer et al. [Chicago: Phoenix Books, 1961], pp. 224–225.

Platonic teaching to depict humans as the only creatures in the world who possessed the freedom to be whatever they chose, able at will to rise to the height of angels or just as quickly to wallow with pigs.

Critical Work of the Humanists: Lorenzo Valla Because they were guided by scholarly ideals of philological accuracy and historical truth, the humanists could become critics of tradition even when that was not their intention. Dispassionate critical scholarship shook long-standing foundations, not the least of which were those of the medieval church.

The work of Lorenzo Valla (1406–1457), author of the standard Renaissance text on Latin philology, the Elegances of the Latin Language (1444), reveals the explosive character of the new learning. Although a good Catholic, Valla became a hero to later Protestant reformers. His popularity among them stemmed from his exposé of the Donation of Constantine (see Chapter 6) and his defense of predestination against the advocates of free will.

The fraudulent *Donation*, written in the eighth century, purported to be a grant of vast territories that the Roman emperor Constantine (r. 307–337) donated to the pope. Valla did not intend the exposé of the *Donation* to have the devastating force that Protestants later attributed to it. He only proved in a careful, scholarly way what others had long suspected. Using textual analysis and historical logic, Valla demonstrated that the document

was filled with anachronistic terms, such as *fief*, and contained information that could not have existed in a fourth-century document. In the same dispassionate way, he also pointed out errors in the Latin Vulgate, still the authorized version of the Bible for the Western church.

Such discoveries did not make Valla any less loyal to the church, nor did they prevent his faithful fulfillment of the office of apostolic secretary in Rome under Pope Nicholas V (r. 1447–1455). Nonetheless, historical humanistic criticism of this type also served those less loyal to the medieval church. Young humanists formed the first identifiable group of Martin Luther's supporters.

Civic Humanism A basic humanist criticism of Scholastic education was that much of its content was useless. Education, humanists believed, should promote individual virtue and public service, hence the designation, civic humanism. The most striking examples of this were found in Florence, where three humanists served as chancellors of the city: Coluccio Salutati (1331–1406),



Combining the painterly qualities of all the Renaissance masters, Raphael created scenes of tender beauty and subjects sublime in both flesh and spirit. Musee du Louvre, Paris/Giraudon, Paris/SuperStock

Leonardo Bruni (ca. 1370–1444), and Poggio Bracciolini (1380–1459). Each used his rhetorical skills to rally the Florentines against the aggression of Naples and Milan. Bruni and Poggio wrote adulatory histories of the city. Another accomplished humanist scholar, Leon Battista Alberti (1402–1472), was a noted Florentine architect and builder. However, many modern scholars doubt that humanistic scholarship really accounted for such civic activity and rather view the three famous humanist chancellors of Florence as men who simply wanted to exercise power.

Toward the end of the Renaissance, many humanists became cliquish and snobbish, an intellectual elite more concerned with narrow scholarly interests and writing pure, classical Latin than with revitalizing civic and social life. In reaction to this elitist trend, the humanist historians Niccolò Machiavelli (1469–1527) and Francesco Guicciardini (1483–1540) wrote in Italian and made contemporary history their primary source and subject matter. Here, arguably, we can see the two sides of humanism: deep scholarship and practical politics.

#### RENAISSANCE ART

In Renaissance Italy, as in Reformation Europe, the values and interests of the laity were no longer subordinated to those of the clergy. In education, culture, and religion, the laity assumed a leading role and established models for the clergy to emulate. This development was due in part to the church's loss of international power during the great crises of the late Middle Ages. The rise of national sentiment and the emergence of national bureaucracies staffed by laymen, not clerics, and the rapid growth of lay education over the four-teenth and fifteenth centuries also encouraged it. Medieval Christian values were adjusted to a more this-worldly spirit.

This new perspective on life is prominent in the painting and sculpture of the High Renaissance (1450–1527), when art and sculpture reached their full maturity. Whereas medieval art tended to be abstract and formulaic, Renaissance art emphatically embraced the natural world and human emotions. Renaissance artists gave their works a rational, even mathematical, order—perfect symmetry and proportionality reflecting a belief in the harmony of the universe.

Renaissance artists were helped by the development of new technical skills during the fifteenth century. In addition to the availability of oil paints, two special techniques gave them an edge: the use of shading to enhance naturalness (chiaroscuro) and the adjustment of the size of figures to give the viewer a feeling of continuity with

the painting (*linear perspective*). These techniques enabled the artist to portray space realistically and to paint a more natural world. The result, compared to their flat Byzantine and Gothic counterparts, was a three-dimensional canvas filled with energy and life.

Giotto (1266–1336), the father of Renaissance painting, signaled the new direction. An admirer of Saint Francis of Assisi, whose love of nature he shared, Giotto painted a more natural world.

Though still filled with religious seriousness, his work was no longer an abstract and unnatural depiction of the world. The painter Masaccio (1401–1428) and the sculptor Donatello (1386–1466) also portrayed the world around them literally and naturally. The great masters of the High Renaissance: Leonardo da Vinci (1452–1519), Raphael (1483–1520), and Michelangelo Buonarroti (1475–1564) reached the heights of such painting.

# A CLOSER LOOK

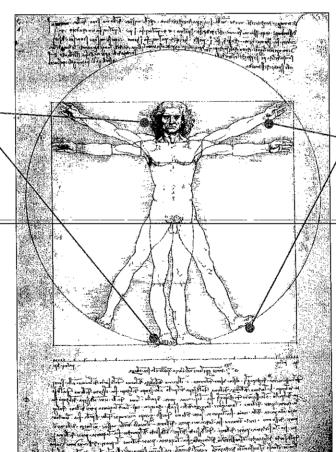
#### Leonardo Plots the Perfect Man

Vitruvian Man by Leonardo da Vinci, c. 1490. The name "Vitruvian" is taken from that of a first-century C.E. Roman architect and engineer, Marcus Pollio Vitruvius, who used squares and circles to demonstrate the human body's symmetry and proportionality. According to Vitruvius:

"And just as the human body yields a circular outline, so too a square figure may be found from it. For if we measure the distance from the soles of the feet to the top of the head, and then apply that measure to the outstretched arms, the breadth will be found to be the same as the height."

Leonardo, like most artists of his time, shared this classical ideal of human perfection even in his gloomiest etchings and paintings. With few exceptions, the great painters of the age wanted to portray men and women in a more than human beauty and glory. Even the rabbits of the German painter Albrecht Dürer, who shared this ideal, were superior to any rabbit one might see in the wild.

Marilyn Stokstad and David Cateforis, Art History (Pearson, 2005), p. 651



CORBIS/Bettmann

"If a man be placed flat on his back, with his hands and feet extended, and a pair of compasses centered at his navel, the fingers and toes of his two hands and feet will touch the circumference of a circle described therefrom."



The School of Athens by Raphael (1483–1520), Painted in 1510–11 for the Vatican Palace in Rome, it attests the influence of the ancient world on the Renaissance. It depicts Greek philosophers whose works humanists had recovered and printed. The model for the figure of Plato (center with upraised arm) was Leonardo da Vinci. Michelangelo is the model for an unidentified ancient thinker (center foreground with his head on his arm). Vatican Museums and Galleries, Vatican City, Italy/Giraudon/Bridgeman Art Library

Leonardo da Vinci A true master of many skills, Leonardo exhibited the Renaissance ideal of the universal person. One of the greatest painters of all time, he also advised Italian princes and the French king Francis I (r. 1515–1547) on military engineering. He advocated scientific experimentation, dissected corpses to learn anatomy, and was a self-taught botanist. His inventive mind foresaw such modern machines as airplanes and submarines. The variety of his interests was so great that it could shorten his attention span. His great skill in conveying inner moods through complex facial expression is apparent not only in his most famous painting, the *Mona Lisa*, but in his self-portrait as well.

Raphael A man of great kindness and a painter of great sensitivity, his contemporaries loved Raphael as much for his person as for his work. He is most famous for his tender madonnas and the great fresco in the Vatican, *The School of Athens*, a virtually perfect example of Renaissance technique. It de-

picts Plato and Aristotle surrounded by other great philosophers and scientists of antiquity who bear the features of Raphael's famous contemporaries.

Michelangelo The melancholy genius Michelangelo also excelled in a variety of arts and crafts. His eighteen-foot sculpture of David, which long stood majestically in the great square of Florence, is a perfect example of Renaissance devotion to harmony, symmetry, and proportion, all serving the glorification of the human form. Four different popes commissioned works by Michelangelo. The frescoes in the Vatican's Sistine Chapel are the most famous, painted during the pontificate of Pope Julius II (r. 1503-1513), who also set Michelangelo to work on his own magnificent tomb. The Sistine frescoes originally covered 10,000 square feet and involved 343 figures, over half of which exceeded ten feet in height, but it is their originality and perfection as works of art that impress one most. This labor of love and piety took four years to complete.

# MICHELANGELO AND POPE JULIUS II



Vasari here describes how Pope Julius (r. 1503–1513), the most fearsome and worldly of the Renaissance popes, forced Michelangelo to complete the Sistine Chapel before Michelangelo was ready to do so.

■ Did Michelangelo hold his own with the pope? What does this interchange suggest about the relationship of patrons and artists in the Renaissance? Were great artists like Michelangelo so revered that they could do virtually as they pleased?

The pope was very anxious to see the decoration of the Sistine Chapel completed and constantly inquired when it would be finished.] On one occasion, therefore, Michelangelo replied. "It will be finished when I shall have done all that I believe is required to satisfy Art." "And we command," rejoined the pontiff, "that you satisfy our wish to have it done quickly," adding that if it were not at once completed, he would have Michelangelo thrown headlong from the scaffolding. Hearing this, our artist, who feared the fury of the pope, and with good cause, without taking time to add what was wanting, took down the remainder of the scaffolding to the great satisfaction of the whole city on All Saints' day, when Pope Julius went into that chapel to sing Mass. But Michelangelo had much desired to retouch some portions of the work a secco that is, after the damp plaster. upon which the paint had been originally laid al fresco had dried, as had been done by the older

masters who had painted the stories on the walls. He would also have gladly added a little ultramarine to the draperies and gilded other parts, to the end that the whole might have a richer and more striking effect.

The pope, too, hearing that these things were still wanting, and finding that all who beheld the chapel praised it highly, would now fain have had the additions made. But as Michelangelo thought reconstructing the scaffold too long an affair, the pictures remained as they were, although the pope, who often saw Michelangelo, would sometimes say, "Let the chapel be enriched with bright colors and gold; it looks poor." When Michelangelo would reply familiarly, "Holy Father," the men of those days did not adorn themselves with gold; those who are painted here less than any, for they were none too rich; besides which they were holy men, and must have despised riches and ornaments."

From James Harvey Robinson, ed., Readings in European History, Vol. 1 (Boston: Athenaeum, 1904), pp. 538-539.

His later works are more complex and suggest deep personal changes. They mark, artistically and philosophically, the passing of High Renaissance painting and the advent of a new style known as mannerism, which reached its peak in the late sixteenth and early seventeenth centuries. A reaction to the simplicity and symmetry of High Renaissance art, which also had a parallel in contemporary music and literature, mannerism made room for the strange and the abnormal, giving freer reign to the individual perceptions and feelings of the artist, who now felt free to paint, compose, or write in a "mannered," or "affected," way. Tintoretto (d. 1594) and El Greco (d. 1614) are mannerism's supreme representatives.

#### SLAVERY IN THE RENAISSANCE

Throughout Renaissance Italy, slavery flourished as extravagantly as art and culture. A thriving western slave market existed as early as the twelfth century, when the Spanish sold Muslim slaves captured in raids and war to wealthy Italians and other buyers. Contemporaries looked on such slavery as a merciful act, since their captors would otherwise have killed the captives. In addition to widespread household or domestic slavery, collective plantation slavery, following East Asian models, also developed in the eastern Mediterranean during the High Middle Ages. In the savannas of Sudan and the Venetian estates on the islands of

# MAJOR POLITICAL EVENTS OF THE ITALIAN RENAISSANCE (1375–1527)

1378-1382 The Ciompi Revolt in Florence

1434 Medici rule in Florence established by Cosimo de' Medici

1454-1455 Treaty of Lodi allies Milan, Naples, and Florence (in effect until 1494)

1494 Charles VIII of France invades Italy

1494-1498 Savonarola controls Florence

1495 League of Venice unites Venice, Milan, the Papal States, the Holy Roman Empire, and Spain against France

1499 Louis XII invades Milan (the second French invasion of Italy)

1500 The Borgias conquer Romagna

1512–1513 The Holy League (Pope Julius II, Ferdinand of Aragon, Emperor Maximilian, and Venice) defeats the French

1513 Machiavelli writes The Prince

1515 Francis I leads the third French invasion of Italy

1516 Concordat of Bologna between France and the papacy

1527 Sack of Rome by imperial soldiers

Cyprus and Crete, gangs of slaves cut spgarcane, setting the model for later slave plantations in the Mediterranean and the New World.

After the Black Death (1348–1350) reduced the supply of laborers everywhere in Western Europe, the demand for slaves soared. Slaves were imported from Africa, the Balkans, Constantinople, Cyprus, Crete, and the lands surrounding the Black Sea. Taken randomly from conquered people, they consisted of many races: Tatars, Circassians, Greeks, Russians, Georgians, and Iranians as well as Asians and Africans. According to one source, "By the end of the fourteenth century, there was hardly a well-to-do household in Tuscany without at least one slave: brides brought them [to their marriages] as part of their dowry, doctors accepted them from their patients in lieu of fees—and it was not unusual to find them even in the service of a priest."<sup>2</sup>

Owners had complete dominion over their slaves, in Italian law, this meant the "[power] to have, hold, sell, alienate, exchange, enjoy, rent or unrent, dispose of in [their] will[s], judge soul and body, and do with in perpetuity whatsoever may please [them] and [their] heirs and no man may

gainsay [them]."<sup>3</sup> A strong, young, healthy slave cost the equivalent of the wages paid a free servant over several years. Considering the lifetime of free service thereafter, slaves were well worth the price.

Tatars and Africans appear to have been the worst treated; but as in ancient Greece and Rome, slaves at this time were generally accepted as family members and integrated into households. Not a few women slaves became mothers of their masters' children. Fathers often adopted children of such unions and raised them as their legitimate heirs. It was also in the interest of their owners to keep slaves healthy and happy; otherwise they would be of little use and even become a threat. Slaves nonetheless remained a foreign and suspected presence in Italian society; they were, as all knew, uprooted and resentful people.

## ITALY'S POLITICAL DECLINE: THE FRENCH INVASIONS (1494–1527)

As a land of autonomous city-states, Italy had always relied on internal cooperation for its peace and safety from foreign invasion—especially by the Turks. Such cooperation was maintained during the second half of the fifteenth century, thanks to a political alliance known as the Treaty of Lodi (1454–1455). Its terms brought Milan and Naples, long traditional enemies, into the alliance with Florence. These three stood together for decades against Venice, which frequently joined the Papal States to maintain an internal—balance—of—power. However, when a foreign—enemy threatened Italy, the five states could also present a united front.

Around 1490, after the rise to power of the Milanese despot Ludovico il Moro, hostilities between Milan and Naples resumed. The peace that the Treaty of Lodi made possible ended in 1494 when Naples, supported by Florence and the Borgia Pope Alexander VI (r. 1492-1503), threatened Milan. Ludovico made a fatal response to these new political alignments: He appealed to the French for aid. French kings had ruled Naples from 1266 to 1442 before being driven out by Duke Alfonso of Sicily. Breaking a wise Italian rule, Ludovico invited the French to reenter Italy and revive their dynastic claim to Naples. In his haste to check rival Naples, Ludovico did not recognize sufficiently that France also had dynastic claims to Milan. Nor did he foresee how insatiable the

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<sup>&</sup>lt;sup>2</sup>Iris Origo, *The Merchant of Prato: Francesco di Marco Datini*, 1335–1410 (New York: David Godine, 1986), pp. 90–91.

French appetite for new territory would become once French armies had crossed the Alps and encamped in Italy.

### CHARLES VIII'S MARCH THROUGH ITALY

The French king Louis XI had resisted the temptation to invade Italy while nonetheless keeping French dynastic claims in Italy alive. His successor, Charles VIII (r. 1483-1498), an eager youth in his twenties, responded to Ludovico's call with lightning speed. Within five months, he had crossed the Alps (August 1494) and raced as conqueror through Florence and the Papal States into Naples. As Charles approached Florence, its Florentine ruler, Piero de' Medici, who was allied with Naples against Milan, tried to placate the French king by handing over Pisa and other Florentine possessions. Such appeasement only brought about Piero's exile by a citizenry that was being revolutionized by a radical Dominican preacher named Girolamo Savonarola (1452-1498). Savonarola convinced the fearful Florentines that the French king's arrival was a long-delayed and fully justified divine vengeance on their immorality.

That allowed Charles to enter Florence without resistance. Between Savonarola's fatal flattery and the payment of a large ransom, the city escaped destruction. After Charles's departure, Savonarola exercised virtual rule over Florence for four years. In the end, the Florentines proved not to be the stuff theocracies are made of. Savonarola's moral rigor and antipapal policies made it impossible for him to survive indefinitely in Italy. After the Italian cities reunited and ousted the French invader, whom Savonarola had praised as a godsend, Savonarola's days were numbered. In May 1498, he was imprisoned and executed.

Charles's lightning march through Italy also struck terror in non-Italian hearts. Ferdinand of Aragon, (r. 1479–1516) who had hoped to expand his own possessions in Italy from his base in Sicily, now found himself vulnerable to a French-Italian axis. In response he created a new counteralliance—the League of Venice. Formed in March 1495, the League brought Venice, the Papal States, and Emperor Maximilian I (r. 1493–1519) together with Ferdinand against the French. The stage was now set for a conflict between France and Spain that would not end until 1559.

Ludovico il Moro meanwhile recognized that he had sown the wind. Having desired a French invasion only so long as it weakened his enemies, he now saw a whirlwind of events he had himself created threaten Milan. In reaction, he joined the League of Venice, which was now strong enough to send Charles into retreat and to end the menace he posed to Italy.

# Pope Alexander VI and the Borgia Family

The French returned to Italy under Charles's successor, Louis XII (r. 1498–1515). This time a new Italian ally, the Borgia pope, Alexander VI, assisted them. Probably the most corrupt pope who ever sat on the papal throne, he openly promoted the political careers of Cesare and Lucrezia Borgia, the children he had had before he became pope. He placed papal policy in tandem with the efforts of his powerful family to secure a political base in Romagna in north central Italy.

In Romagna, several principalities had fallen away from the church during the Avignon papacy. Venice, the pope's ally within the League of Venice, continued to contest the Papal States for their loyalty. Seeing that a French alliance would allow him to reestablish control over the region, Alexander took steps to secure French favor. He annulled Louis XII's marriage to Charles VIII's sister so Louis could marry Charles's widow, Anne of Brittany-a popular political move designed to keep Brittany French. The pope also bestowed a cardinal's hat on the archbishop of Rouen, Louis's favorite cleric. Most important, Alexander agreed to abandon the League of Venice, a withdrawal of support that made the league too weak to resist a French reconquest of Milan. In exchange, Cesare Borgia received the sister of the king of Navarre, Charlotte d'Albret, in marriage, a union that enhanced Borgia military strength. Cesare also received land grants from Louis XII and the promise of French military aid in Romagna.

All in all it was a scandalous trade-off, but one that made it possible for both the French king and the pope to realize their ambitions within Italy. Louis invaded Milan in August 1499. Ludovico il Moro, who had originally opened the Pandora's box of French invasion, spent his last years languishing in a French prison. In 1500, Louis and Ferdinand of Aragon divided Naples between them, and the pope and Cesare Borgia conquered the cities of Romagna without opposition. Alexander's victorious son was given the title "duke of Romagna."

## POPE JULIUS II

Cardinal Giuliano della Rovere, a strong opponent of the Borgia family, succeeded Alexander VI as Pope Julius II (r. 1503–1513). He suppressed the Borgias and placed their newly conquered lands in Romagna under papal jurisdiction. Julius raised the Renaissance papacy to its peak of military prowess and diplomatic intrigue, gaining him the title of "warrior pope." Shocked, as were other contemporaries, by this thoroughly secular papacy, the humanist Erasmus (1466?–1536), who had witnessed

in disbelief a bullfight in the papal palace during a visit to Rome, wrote a popular anonymous satire entitled *Julius Excluded from Heaven*. This humorous account purported to describe the pope's unsuccessful efforts to convince Saint Peter that he was worthy of admission to heaven.

Assisted by his powerful allies, Pope Julius drove the Venetians out of Romagna in 1509 and fully secured the Papal States. Having realized this long-sought papal goal, Julius turned to the second major undertaking of his pontificate: ridding Italy of his former ally, the French invader. Julius, Ferdinand of Aragon, and Venice formed a second Holy League in October 1511 and were joined by Emperor Maximilian I and the Swiss. In 1512, the league had the French in full retreat, and the Swiss defeated them in 1513 at Novara.

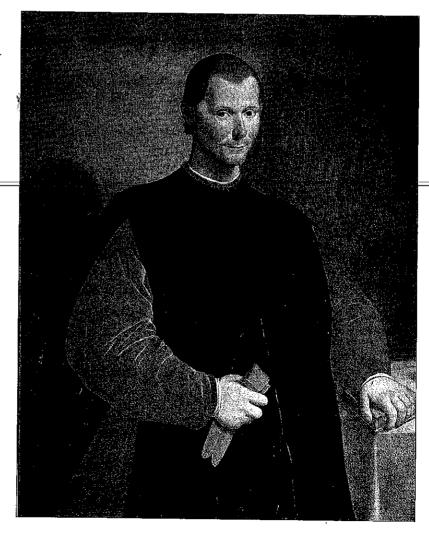
The French were nothing if not persistent. They invaded Italy a third time under Louis's successor, Francis I (r. 1515–1547). This time French armies massacred Swiss soldiers of the Holy League at Marignano in September 1515, avenging the earlier defeat at Novara. The victory won the Concordat

of Bologna from the pope in August 1516, an agreement that gave the French king control over the French clergy in exchange for French recognition of the pope's superiority over church councils and his right to collect annates in France. This concordat helped keep France Catholic after the outbreak of the Protestant Reformation, but the new French entry into Italy set the stage for the first of four major wars with Spain in the first half of the sixteenth century: the Habsburg-Valois wars, none of which France won.

#### NICCOLÒ MACHIAVELLI

The foreign invasions made a shambles of Italy. The same period that saw Italy's cultural peak in the work of Leonardo, Raphael, and Michelangelo also witnessed Italy's political tragedy. One who watched as French, Spanish, and German armies wreaked havoc on Italy was Niccolò Machiavelli (1469–1527). The more he saw, the more convinced he became that Italian political unity and independence were ends that justified any means.

Santi di Tito's portrait of Machiavelli, perhaps the most famous Italian political theorist, who advised Renaissance princes to practice artful deception and inspire fear in their subjects if they wished to be successful. Scala/Art Resource, NY



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# MACHIAVELLI DISCUSSES THE MOST IMPORTANT TRAIT FOR A RULER



Machiavelli believed that the most important personality trait of a successful ruler was the ability to instill fear in his subjects.

The ere the question arises; whether it is better to be loved than feared or feared than loved. The answer is that it would be desirable to be both but, since that is difficult, it is much safer to be feared than to be loved, if one must choose. For on men in general this observation may be made: they are ungrateful, fickle, and deceitful, eager to avoid dangers, and avid for gain, and while you are useful to them they are all with you, offering you their blood, their property, their lives, and their sons so long as danger is remote, as we noted above, but when it ap-

proaches, they turn on you. Any prince, trusting only in their words and having no other preparations made, will fall to his ruin, for friendships that are bought at a price and not by greatness and nobility of soul are paid for indeed, but they are not owned and cannot be called upon in time of need. Men have less hesitation in offending a man who is loved than one who is feared, for love is held by a bond of obligation which, as men are wicked, is broken whenever personal advantage suggests it, but fear is accompanied by the dread of punishment which never relaxes.

Niccolò Machiavelli, The Prince (1513), trans. and ed. by Thomas G. Bergin (New York: Appleton-Century-Crofts, NY, 1947), p. 48.

A humanist and a careful student of ancient Rome, Machiavelli was impressed by the way Roman rulers and citizens had then defended their homeland. They possessed virtù, the ability to act decisively and heroically for the good of their country. Stories of ancient Roman patriotism and self-sacrifice were Machiavelli's favorites, and he lamented the absence of such traits among his compatriots. Such romanticizing of the Roman past exaggerated both ancient virtue and contemporary failings. His Florentine contemporary, Francesco Guicciardini (1483–1540), a more sober historian less given to idealizing antiquity, wrote truer chronicles of Florentine and Italian history.

Machiavelli also held republican ideals, which he did not want to see vanish from Italy. He believed a strong and determined people could struggle successfully with fortune. He scolded the Italian people for the self-destruction their own internal feuding was causing. He wanted an end to that behavior above all, so a reunited Italy could drive all foreign armies out.

His fellow citizens were not up to such a challenge. The juxtaposition of what Machiavelli believed the ancient Romans had been, with the failure of his contemporaries to attain such high

ideals, made him the famous cynic whose name—in the epithet "Machiavellian"—has become synonymous with ruthless political expediency. Only a strongman, he concluded, could impose order on so divided and selfish a people; the salvation of Italy required, for the present, cunning dictators.

It has been argued that Machiavelli wrote The Prince in 1513 as a cynical satire on the way rulers actually do behave and not as a serious recommendation of unprincipled despotic rule. To take his advocacy of tyranny literally, it is argued, contradicts both his earlier works and his own strong family tradition of republican service. But Machiavelli seems to have been in earnest when he advised rulers to discover the advantages of fraud and brutality, at least as a temporary means to the higher end of a unified Italy. (See "Machiavelli Discusses the Most Important Trait for a Ruler.") He apparently hoped to see a strong ruler emerge from the Medici family, which had captured the papacy in 1513 with the pontificate of Leo X (r. 1513–1521). At the same time, the Medici family retained control over the powerful territorial state of Florence. The situation was similar to that of Machiavelli's hero Cesare Borgia and his father Pope Alexander VI, who had earlier brought factious Romagna to heel by combining secular family goals with religious policy. *The Prince* was pointedly dedicated to Lorenzo de' Medici, duke of Urbino and grandson of Lorenzo the Magnificent.

Whatever Machiavelli's hopes may have been, the Medicis were not destined to be Italy's deliverers. The second Medici pope, Clement VII (r. 1523–1534), watched helplessly as the army of Emperor Charles V sacked Rome in 1527, also the year of Machiavelli's death.

### REVIVAL OF MONARCHY IN NORTHERN EUROPE

After 1450, the emergence of truly sovereign rulers set in motion a shift from divided feudal monarchy to unified national monarchies. Dynastic and chivalric ideals of feudal monarchy did not, however, vanish. Territorial princes remained on the scene and representative bodies persisted and even grew in influence. Still, in the late fifteenth and early sixteenth centuries, the old problem of the one and the many was now progressively decided in favor of national monarchs.

The feudal monarchy of the High Middle Ages was characterized by the division of the basic powers of government between the king and his semi-autonomous vassals. The nobility and the towns then acted with varying degrees of unity and success through evolving representative assemblies, such as the English Parliament, the French Estates General, and the Spanish *Cortés*, to thwart the centralization of royal power into a united nation. But after the Hundred Years' War and the Great Schism in the church, the nobility and the clergy were in decline and less able to block growing national monarchies.

The increasingly important towns now began to ally with the king. Loyal, business-wise townspeople, not the nobility and the clergy, increasingly staffed royal offices and became the king's lawyers, bookkeepers, military tacticians, and foreign diplomats. This new alliance between king and town broke the bonds of feudal society and made possible the rise of sovereign states.

In a sovereign state, the powers of taxation, war making, and law enforcement no longer belong to semiautonomous vassals, but are concentrated in the monarch and exercised by his or her chosen agents. Taxes, wars, and laws become national, rather than merely regional, matters. Only as monarchs became able to act independently of the nobility and representative assemblies could they overcome the decentralization that impeded nation building. Ferdinand and Isabella of Spain rarely called the *Cortés* into session. The French Estates General met irregularly, mostly in time of

crisis, but was never essential to royal governance. Henry VII (r. 1485–1509) of England managed to raise revenues without going begging to Parliament, which had voted him customs revenues for life in 1485. Brilliant theorists, from Marsilius of Padua in the fourteenth century to Machiavelli in the fifteenth to Jean Bodin (1530–1596) in the sixteenth emphatically defended the sovereign rights of monarchy.

The many were, of course, never totally subjugated to the one. But in the last half of the fifteenth century, rulers demonstrated that the law was their creature. They appointed civil servants whose vision was no longer merely local or regional. In Castile they were the corregidores, in England the justices of the peace, and in France bailiffs operating through well-drilled lieutenants. These royal ministers and agents could become closely attached to the localities they administered in the ruler's name, and regions were able to secure congenial royal appointments. Throughout England, for example, local magnates served as representatives of the Tudor dynasty that seized the throne in 1485. Nonetheless, these new executives remained royal executives, bureaucrats whose outlook was now "national" and whose loyalty was to the "state."

Monarchies also began to create standing national armies in the fifteenth century. The noble cavalry receded as the infantry and the artillery became the backbone of royal armies. Mercenary soldiers were recruited from Switzerland and Germany to form the major part of the "king's army." Professional soldiers who fought for pay and booty proved far more efficient than feudal vassals who fought simply for honor's sake. Monarchs who failed to meet their payrolls, however, now faced a new danger of mutiny and banditry by foreign troops.

The growing cost of warfare in the fifteenth and sixteenth centuries increased the monarch's need for new national sources of income. The great obstacle was the stubborn belief of the highest social classes that they were immune from government taxation. The nobility guarded their properties and traditional rights and despised taxation as an insult and a humiliation. Royal revenues accordingly had to grow at the expense of those least able to resist and least able to pay.

The monarchs had several options when it came to raising money. As feudal lords, they could collect rents from their royal domains. They could also levy national taxes on basic food and clothing, such as the salt tax (gabelle) in France and the 10 percent sales tax (alcabala) on commercial transactions in Spain. The rulers could also levy direct taxes on the peasantry, which they did through agreeable representative

assemblies of the privileged classes in which the peasantry did not sit. The taille, which the French kings independently determined from year to year after the Estates General was suspended in 1484, was such a tax. Innovative fundraising devices in the fifteenth century included the sale of public offices and the issuance of high-interest government bonds. Rulers still did not levy taxes on the powerful nobility, but instead, they borrowed from rich nobles and the great bankers of Italy and Germany. In money matters, the privileged classes remained as much the kings' creditors and competitors as their subjects.

#### FRANCE

Charles VII (r. 1422–1461) was a king made great by those who served him. His ministers created a permanent professional army, which—thanks initially to the inspiration of Joan of Arc—drove the English out of France. In addition, the enterprise of an independent merchant banker named Jacques Cœur, helped develop a strong economy, diplomatic corps, and national administration during Charles's reign. These sturdy tools in turn enabled Charles's son and successor, the ruthless Louis XI (r. 1461–1483), to make France a great power.

French nation-building had two political cornerstones in the fifteenth century. The first was the collapse of the English Empire in France following the Hundred Years' War. The second was the defeat of Charles the Bold (r. 1467–1477) and his duchy of Burgundy. Perhaps Europe's strongest political power in the mid-fifteenth century, Burgundy aspired to dwarf both France and the Holy Roman Empire as the leader of a dominant middle kingdom, which it might have done had not the continental powers joined to prevent it. When Charles the Bold died in defeat in a battle at Nancy in 1477, the dream of a Burgundian Empire died with him. Louis XI and Habsburg emperor Maximilian I divided the conquered Burgundian lands between them, with the treaty-wise Habsburgs getting the better part. The dissolution of Burgundy ended its constant intrigue against the French king and left Louis XI free to secure the monarchy. Between the newly acquired Burgundian lands and his own inheritance the king was able to end his reign with a kingdom almost twice the size of that he had inherited. Louis successfully harnessed the nobility, expanded the trade and industry that Jacques Cœur so carefully had nurtured, created a national postal system, and even established a lucrative silk industry.

A strong nation is a two-edged sword. Because Louis's successors inherited a secure and efficient government, they felt free to pursue what proved ultimately to be a bad foreign policy. Conquests in Italy in the 1490s and a long series of losing wars with the Habsburgs in the first half of the sixteenth century left France, by the mid-sixteenth century, once again a defeated nation almost as divided as it had been during the Hundred Years' War.

#### SPAIN

Both Castile and Aragon had been poorly ruled and divided kingdoms in the mid-fifteenth century, but the union of Isabella of Castile (r. 1474-1504) and Ferdinand of Aragon (r. 1479-1516) changed that situation. The two future sovereigns married in 1469, despite strong protests from neighboring Portugal and France, both of which foresaw the formidable European power the marriage would create. Castile was by far the richer and more populous of the two, having an estimated five million inhabitants to Aragon's population of under one million. Castile was also distinguished by its lucrative sheep-farming industry, run by a government-backed organization called the Mesta, another example of a developing centralized economic planning. Although the marriage of Ferdinand and Isabella dynastically united the two kingdoms, they remained constitutionally separated. Each retained its respective government agencies—separate laws, armies, coinage, and taxation-and cultural traditions.

Ferdinand and Isabella could do together what neither was able to accomplish alone: subdue their realms, secure their borders, venture abroad militarily, and Christianize the whole of Spain. Between 1482 and 1492 they conquered the Moors in Granada. Naples became a Spanish possession in 1504. By 1512, Ferdinand had secured his northern borders by conquering the kingdom of Navarre. Internally, the Spanish king and queen won the allegiance of the *Hermandad*, a powerful league of cities and towns that served them against stubborn noble landowners. The crown also extended its authority over the wealthy chivalric orders, further limiting the power of the nobility.

Spain had long been remarkable among European lands as a place where three religions—Islam, Judaism, and Christianity—coexisted with a certain degree of toleration. That toleration was to end dramatically under Ferdinand and Isabella, who made Spain the prime exemplar of state-controlled religion.

Ferdinand and Isabella exercised almost total control over the Spanish church as they placed religion in the service of national unity. They appointed the higher clergy and the officers of the Inquisition. The latter, run by Tomás de Torquemada (d. 1498), Isabella's confessor, was a key national agency established in 1479 to monitor the

activity of converted Jews (conversos) and Muslims (Moriscos) in Spain. In 1492, the Jews were exiled and their properties confiscated. In 1502, nonconverting Moors in Granada were driven into exile by Cardinal Francisco Jiménez de Cisneros (1437–1517), under whom Spanish spiritual life was successfully conformed. This was a major reason why Spain remained a loyal Catholic country throughout the sixteenth century and provided a secure base of operation for the European Counter-Reformation.

Despite a certain internal narrowness, Ferdinand and Isabella were rulers with wide horizons. They contracted anti-French marriage alliances that came to determine a large part of European history in the sixteenth century. In 1496, their eldest daughter, Joanna, later known as "the Mad," married Archduke Philip, the son of Emperor Maximilian I. The fruit of this union, Charles I, was the first to rule over a united Spain; by his inheritance and election as emperor in 1519, his empire almost equaled in size that of Charlemagne. A second daughter, Catherine of Aragon, wed Arthur, the son of the English king Henry VII. After Arthur's premature death, she was betrothed to his brother, the future King Henry VIII (r. 1509-1547), whom she married eight years later, in 1509. The failure of this marriage became the key factor in the emergence of the Anglican church and the English Reformation.

The new power of Spain was also revealed in Ferdinand and Isabella's promotion of overseas exploration. They sponsored the Genoese adventurer Christopher Columbus (1451–1506), who arrived at the islands of the Caribbean while sailing west in search of a shorter route to the spice markets of the Far East. This patronage led to the creation of the Spanish Empire in Mexico and Peru, whose gold and silver mines helped make Spain Europe's dominant power in the sixteenth century.

#### ENGLAND

The latter half of the fifteenth century was a period of especially difficult political trial for the English. Following the Hundred Years' War, civil warfare broke out between two rival branches of the royal family: the House of York and the House of Lancaster. The roots of the war lay in succession irregularities after the forced deposition of the erratic king Richard II (r. 1377–1399). This conflict, known to us today as the Wars of the Roses (because York's symbol, according to legend, was a white rose and Lancaster's a red rose), kept England in turmoil from 1455 to 1485.

The duke of York and his supporters in the prosperous southern towns challenged the Lancastrian

monarchy of Henry VI (r.1422–1461). In 1461, Edward IV (r. 1461–1483), son of the duke of York, seized power and instituted a strong-arm rule that lasted more than twenty years; it was only briefly interrupted, in 1470–1471, by Henry VI's shortlived restoration. Assisted by able ministers, Edward effectively increased the power and finances of the monarchy.

His brother, Richard III (r. 1483–1485), usurped the throne from Edward's son, and after Richard's death, the new Tudor dynasty portrayed him as an unprincipled villain who had also murdered Edward's sons in the Tower of London to secure his hold on the throne. Shakespeare's *Richard III* is the best known version of this characterization—unjust according to some. Be that as it may, Richard's reign saw the growth of support for the exiled Lancastrian Henry Tudor, who returned to England to defeat Richard on Bosworth Field in August 1485.

Henry Tudor ruled as Henry VII (r. 1485-1509), the first of the new Tudor dynasty that would dominate England throughout the sixteenth century. To bring the rival royal families together and to make the hereditary claim of his offspring to the throne uncontestable. Henry married Edward IV's daughter, Elizabeth of York. He succeeded in disciplining the English nobility through a special instrument of the royal will known as the Court of Star Chamber, Created with the sanction of Parliament in 1487, the court was intended to end the perversion of English justice by "over-mighty subjects," that is, powerful nobles who used intimidation and bribery to win favorable verdicts in court cases. In the Court of Star Chamber, the king's councilors sat as judges, and such tactics did not sway them. The result was a more equitable court system.

It was also a court more amenable to the royal will. Henry shrewdly used English law to further the ends of the monarchy. He managed to confiscate lands and fortunes of nobles with such success that he was able to govern without dependence on Parliament for royal funds, always a cornerstone of a strong monarchy. In these ways, Henry began to shape a monarchy that would develop into one of early modern Europe's most exemplary governments during the reign of his granddaughter, Elizabeth I (r. 1558–1603).

#### THE HOLY ROMAN EMPIRE

Germany and Italy were the striking exceptions to the steady development of politically centralized lands in the last half of the fifteenth century. Unlike England, France, and Spain, the Holy Roman Empire saw the many thoroughly repulse the one.



This portrait of Katharina, by Albrecht Dürer, provides evidence of African slavery in Europe during the sixteenth century. Katharina was in the service of one João Bradao, a Portuguese economic minister—living in Antwerp, then the financial center of Europe. Dürer became friends with Bradao during his stay in the Low Countries in the winter of 1520–1521. Albrecht Dürer (1471–1528), "Portrait of the Moorish Woman Katharina." Drawing. Uffizi Florence, Italy. Photograph © Foto Marburg/Art Resource, NY

In Germany, territorial rulers and cities resisted every effort at national consolidation and unity. As in Carolingian times, rulers continued to partition their kingdoms, however small, among their sons. By the late fifteenth century, Germany was hopelessly divided into some three hundred autonomous political entities.

The princes and the cities did work together to create the machinery of law and order, if not of union, within the divided empire. Emperor Charles IV (r. 1346–1378) and the major German territorial rulers reached an agreement in 1356 known as the Golden Bull. It established a sevenmember electoral college consisting of the archbishops of Mainz, Trier, and Cologne; the duke of

Saxony; the margrave of Brandenburg; the count Palatine; and the king of Bohemia. This group also functioned as an administrative body. They elected the emperor and, in cooperation with him, provided what transregional unity and administration existed.

The figure of the emperor gave the empire a single ruler in law if not in fact. The conditions of his rule and the extent of his powers over his subjects, especially the seven electors, were renegotiated with every imperial election. Therefore, the rights of the many (the princes) were always balanced against the power of the one (the emperor).

In the fifteenth century, an effort was made to control incessant feuding by the creation of an imperial diet known as the Reichstag. This was a national assembly of the seven electors, the nonelectoral princes, and representatives from the sixty-five imperial free cities. The cities were the weakest of the three bodies represented in the diet. During such an assembly in Worms in 1495, the members won from Emperor Maximilian I an imperial ban on private warfare, the creation of a Supreme Court of Justice to enforce internal peace, and an imperial Council of Regency to coordinate imperial and internal German policy. The emperor only grudgingly conceded the latter because it gave the princes a share in executive power.

These reforms were still a poor substitute for true national unity. In the sixteenth and seventeenth centuries, the territorial princes became virtually sovereign rulers in their various domains. Such disunity aided religious dissent and conflict. It was in the cities and territories of

still feudal, fractionalized, backward Germany that the Protestant Reformation broke out in the sixteenth century.

# THE NORTHERN RENAISSANCE

The scholarly works of northern humanists created a climate favorable to religious and educational reforms on the eve of the Reformation. Northern humanism was initially stimulated by the importation of Italian learning through such varied intermediaries as students who had studied in Italy, merchants who traded there, and the Brothers of the Common Life. This last was an influential lay.

religious movement that began in the Netherlands and permitted men and women to live a shared religious life without making formal vows of poverty, chastity, and obedience.

The northern humanists, however, developed their own distinctive culture. They tended to come from more diverse social backgrounds and to be more devoted to religious reforms than their Italian counterparts. They were also more willing to write for lay audiences as well as for a narrow intelligentsia. Thanks to the invention of printing with movable type, it became possible for humanists to convey their educational ideals to laypeople and clerics alike. Printing gave new power and influence to elites in both church and state, who now could popularize their viewpoints freely and widely.

#### THE PRINTING PRESS

A variety of forces converged in the fourteenth and fifteenth centuries to give rise to the invention of the printing press. Since the days of Charlemagne, kings and princes had encouraged schools and literacy, to help provide educated bureaucrats to staff the offices of their kingdoms. Without people who could read, think critically, and write reliable reports, no kingdom, large or small, could be properly governed. By the fifteenth century, a new literate

lay public had been created, thanks to the enormous expansion of schools and universities during the late Middle Ages.

The invention of a cheap way to manufacture paper also helped make books economical and broaden their content. Manuscript books had been inscribed on vellum, a cumbersome and expensive medium (170 calfskins or 300 sheepskins were required to make a single vellum Bible.) Single-sheet woodcuts had long been printed. The process involved carving words and pictures on a block of wood, inking it, and then stamping out as many copies as possible before the wood deteriorated. The end product was much like a cheap modern poster.

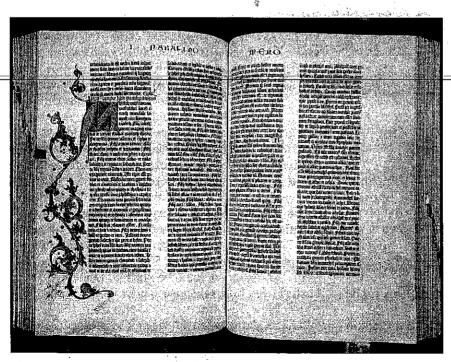
In response to the demand for books that the expansion of lay education and literacy created, Johann Gutenberg (d. 1468) invented printing with movable type in the mid-fifteenth century in the German city of Mainz, the center of printing for the whole of Western Europe. Thereafter, books were rapidly and handsomely produced on topics both profound and practical and were intended for ordinary lay readers, scholars, and clerics alike. Especially popular in the early decades of print were books of piety and religion, calendars and almanacs, and how-to books (for example, on childrearing, making brandies and liquors, curing animals, and farming).

The new technology proved enormously profitable to printers, whose numbers exploded. By

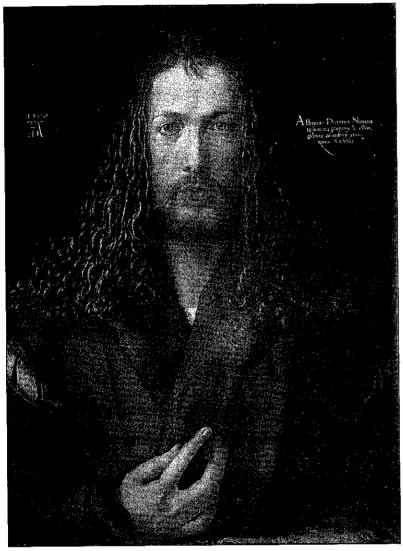
1500, within just fifty years of Gutenberg's press, printing presses operated in at least sixty German cities and in more than two hundred cities throughout

Europe. The printing press was a boon to the careers of humanists, who now gained international audiences.

Literacy deeply affected people everywhere, nurturing selfesteem and a critical frame of mind. By standardizing texts, the print revolution made anyone who could read an instant authority. Rulers in church and state now had to deal with a less credulous and less docile laity. Print was also a powerful tool for political and religious propaganda. Kings could now indoctrinate people as never before, and clergymen found themselves able to mass-produce both indulgences and pamphlets. (See "The West & the World: The Invention of Printing," p. 284.)



The printing press made possible the diffusion of Renaissance learning, but no book stimulated thought more at this time than did the Bible. With Gutenberg's publication of a printed Bible in 1454, scholars gained access to a dependable, standardized text, so Scripture could be discussed and debated as never before. This item is reproduced by permission of The Huntington Library, San Marino, California



Albrecht Dürer (1471–1528). Self-portrait at Age 28 with Fur Coat. 1500. Oil on wood, 67 × 49 cm. Alte Pinakothek, Munich, Germany. Photograph © Scala/Art Resource, NY

#### **ERASMUS**

The far-reaching influence of Desiderius Erasmus (1466?–1536), the most famous northern humanist, illustrates the impact of the printing press. Through his printed works, Erasmus gained fame both as an educational and as a religious reformer. A life-long Catholic, his life and work make clear that many loyal Catholics wanted major reforms in the church long before the Reformation made them a reality.

When patronage was scarce (authors received no royalties and had to rely on private patrons for their livelihood), Erasmus earned his living by tutoring well-to-do youths. He prepared short Latin dialogues for his students, intended to teach them how to speak and live well, inculcating good manners and language by internalizing what they read.

These dialogues were entitled Colloquies. In consecutive editions, they grew in number and length, including anticlerical dialogues and satires on religious dogmatism and superstition. Erasmus also collected ancient and contemporary proverbs, which appeared under the title, Adages. Beginning with 800 examples, the final edition included more than 5,000. Among the locutions the Adages popularized are such common expressions as "Leave no stone unturned" and "Where there is smoke, there is fire."

Erasmus aspired to unite classical ideals of humanity and civic virtue with the Christian ideals of love and piety. He believed disciplined study of the classics and the Bible, if begun early enough, was the best way to reform individuals and society. He summarized his own beliefs with the phrase philosophia Christi, a simple, ethical piety in imitation of Christ. He set this ideal in stark contrast to what he believed to be the dogmatic, ceremonial, and bullying religious practices of the later Middle Ages. What most offended him about the Scholastics, both the old authorities of the Middle Ages and the new Protestant ones, was their letting dogma and argument overshadow Christian piety and practice.

Erasmus was a true idealist, who expected more from people than the age's theologians believed them capable of doing. To promote what he deemed to

be the essence of Christianity, he made ancient Christian sources available in their original versions, believing that if people would only imbibe the pure sources of the faith, they would recover the moral and religious health the New Testament promises. To this end, Erasmus edited the works of the Church Fathers and produced a Greek edition of the New Testament (1516), later adding a new Latin translation of the latter (1519). Martin Luther used both of those works when he translated the New Testament into German in 1522.

These various enterprises did not please the church authorities. They remained unhappy with Erasmus's "improvements" on the Vulgate, Christendom's Bible for over a thousand years, and his popular anticlerical writings. At one point in the mid-sixteenth century, all of Erasmus's works were on the church's *Index of Forbidden Books*.

Luther also condemned Erasmus for his views on the freedom of human will. Still, Erasmus's works put sturdy tools of reform in the hands of both Protestant and Catholic reformers. Already in the 1520s, there was a popular saying: "Erasmus laid the egg that Luther hatched."

#### HUMANISM AND REFORM

1. 10 15

In Germany, England, France, and Spain, humanism stirred both educational and religious reform.

Germany Rudolf Agricola (1443–1485), the "father of German humanism," spent ten years in Italy and introduced Italian learning to Germany when he returned. Conrad Celtis (d. 1508), the first German poet laureate, and Ulrich von Hutten (1488–1523), a fiery knight, gave German humanism a nationalist coloring hostile to non-German cultures, particularly Roman culture. Von Hutten especially illustrates the union of humanism, German nationalism, and Luther's religious reform. A poet who admired Erasmus, he attacked indulgences and published an edition of Valla's exposé of the *Donation of Constantine*. He died in 1523, the victim of a hopeless knights' revolt against the princes.

The controversy that brought von Hutten onto the historical stage and unified reform-minded German humanists was the Reuchlin affair. Johann Reuchlin (1455-1522) was Europe's foremost Christian authority on Hebrew and Jewish learning. He wrote the first reliable Hebrew grammar by a Christian scholar and was attracted to Jewish mysticism. Around 1506, supported by the Dominican order in Cologne, a Christian who had converted from Judaism began a movement to suppress Jewish writings. When this man, whose name was Pfefferkorn, attacked Reuchlin, many German humanists, in the name of academic freedom and good scholarship—not for any pro-Jewish sentiment—rushed to Reuchlin's defense. The controversy lasted for years and produced one of the great satires of the period, the Letters of Obscure Men (1515), a merciless satire of monks and Scholastics to which von Hutten contributed. When Martin Luther came under attack in 1517 for his famous ninety-five theses against indulgences, many German humanists saw a repetition of the Scholastic attack on Reuchlin and rushed to his side.

England Italian learning came to England by way of English scholars and merchants and visiting Italian prelates. Lectures by William Grocyn (d. 1519) and Thomas Linacre (d. 1524) at Oxford and those of Erasmus at Cambridge marked the scholarly maturation of English humanism. John Colet [1467–1519], dean of Saint Paul's Cathedral, pa-

tronized humanist studies for the young and promoted religious reform.

Thomas More (1478–1535), a close friend of Erasmus, is the best known English humanist. His *Utopia* (1516), a conservative criticism of contemporary society, rivals the plays of Shakespeare as the most read sixteenth-century English work. *Utopia* depicted an imaginary society based on reason and tolerance that overcame social and political injustice by holding all property and goods in common and requiring everyone to earn their bread by their own work.

More became one of Henry VIII's most trusted diplomats. His repudiation of the Act of Supremacy (1534), which made the king of England head of the English church in place of the pope (see Chapter 11), and his refusal to recognize the king's marriage to Anne Boleyn, however, led to his execution in July 1535. Although More remained Catholic, humanism in England, as also in Germany, helped prepare the way for the English Reformation.

France The French invasions of Italy made it possible for Italian learning to penetrate France, stirring both educational and religious reform. Guillaume Budé (1468-1540), an accomplished Greek scholar, and Jacques Lefèvre d'Etaples (1454-1536), a biblical authority, were the leaders of French humanism. Lefèvre's scholarly works exemplified the new critical scholarship and influenced Martin Luther. Guillaume Briçonnet [1470-1533], the bishop of Meaux, and Marguerite d'Angoulême (1492-1549), sister of King Francis I, the future queen of Navarre, and a successful spiritual writer in her own right, cultivated a generation of young reform-minded humanists. The future Protestant reformer John Calvin was a product of this native reform circle.

Spain Whereas in England, France, and Germany, humanism prepared the way for Protestant reforms, in Spain it entered the service of the Catholic Church. Here the key figure was Francisco Jiménez de Cisneros (1437-1517), a confessor to Queen Isabella and, after 1508, the "Grand Inquisitor"—a position that allowed him to enforce the strictest religious orthodoxy. Jiménez founded the University of Alcalá near Madrid in 1509, printed a Greek edition of the New Testament, and translated many religious tracts designed to reform clerical life and better direct lay piety. His great achievement, taking fifteen years to complete, was the Complutensian Polyglot Bible, a six-volume work that placed the Hebrew, Greek, and Latin versions of the Bible in parallel columns. Such scholarly projects and internal church reforms joined with the repressive measures of Ferdinand and Isabella to keep Spain strictly Catholic throughout the Age of Reformation.

### VOYAGES OF DISCOVERY AND THE NEW EMPIRES IN THE WEST AND EAST

The discovery of the Americas dramatically expanded the horizons of Europeans, both geographically and intellectually. Knowledge of the New World's inhabitants and the exploitation of its mineral and human wealth set new cultural and economic forces in motion throughout Western Europe.

Beginning with the voyages of the Portuguese and Spanish in the fifteenth century, commercial supremacy progressively shifted from the Mediterranean and Baltic seas to the Atlantic seaboard, setting the stage for global expansion. (See Map 10-2.)

#### THE PORTUGUESE CHART THE COURSE

Seventy-seven years before Columbus, who sailed under the flag of Spain, set foot in the Americas, Prince Henry "the Navigator" (1394-1460), brother of the king of Portugal, captured the North African Muslim city of Ceuta. His motives were mercenary and religious, both a quest for gold and spices and the pious work of saving the souls of Muslims and pagans who had no knowledge of Christ. Thus began the Portuguese exploration of the African coast, first in search of gold and slaves, and then by century's end, of a sea-route around Africa to Asia's spice markets. Pepper and cloves topped the list of spices, as they both preserved and enhanced the dull diet of most Europeans. Initially the catch of raiders, African slaves were soon taken by Portuguese traders in direct commerce with tribal\_chiefs, who\_readily\_swapped\_captives\_for horses, grain, and finished goods (cloth and brasswarel. Over the second half of the fifteenth century, Portuguese ships delivered 150,000 slaves to Europe.

Before there was a sea route to the East, Europeans could only get spices through the Venetians, who bought or bartered them from Muslim merchants in Egypt and the Ottoman Empire. The Portuguese resolved to beat this powerful Venetian-Muslim monopoly by sailing directly to the source. Overland routes to India and China had long existed, but their transit had become too difficult and unprofitable by the fifteenth century. The route by sea posed a different obstacle and risk: fear of the unknown, making the first voyages of exploration slow and tentative. Venturing down the African coast, the Portuguese ships were turned out into the deep ocean by every protruding cape, and the farther out they sailed to round them, the greater the sailors' fear that the winds would not return them to land. Each cape rounded became a victory and a lesson, giving the crews the skills they needed to cross the oceans to the Americas and East Asia.

In addition to spice markets, the voyagers also gained new allies against Western Europe's archenemies, the Muslims. In 1455, a self-interested pope granted the Portuguese explorers all the spoils of war—land, goods, and slaves—from the coast of Guinea in West Africa to the Indies in East Asia. The church expected exploration to lead to mass conversions, a Christian coup as well as a mercantile advantage. The explorers also kept an eye out for a legendary Eastern Christian ruler known as Prester John.

Bartholomew Dias (ca. 1450–1500) pioneered the eastern Portuguese Empire after safely rounding the Cape of Good Hope at the tip of Africa in 1487. A decade later, in 1498, Vasco da Gama (1469–1525) stood on the shores of India. When he returned to Portugal, he carried a cargo of spices worth sixty times the cost of the voyage. Later, the Portuguese established colonies in Goa and Calcutta on the coast of India, whence they challenged the Arabs and the Venetians for control of the spice trade.

The Portuguese had concentrated their explorations on the Indian Ocean. The Spanish turned west, believing they could find a shorter route to the East Indies by sailing across the Atlantic. Instead, Christopher Columbus (1451–1506) discovered the Americas.

#### THE SPANISH VOYAGES OF COLUMBUS

Thirty-three-days after departing the Canary Islands, on October 12, 1492, Columbus landed in San Salvador (Watlings Island) in the eastern Bahamas. Thinking he was in the East Indies, he mistook his first landfall as an outer island of Japan. The error was understandable given the information he relied on, namely, Marco Polo's thirteenth-century account of his years in China and Martin Behaim's spherical map of the presumed world. That map showed only ocean and Cipangu (Japan) between the west coast of Europe and the east coast of Asia. (See Martin Behaim's map, page 344.) Not until his third voyage to the Caribbean in 1498 did Columbus realize that Cuba was not Japan and South America was not China.

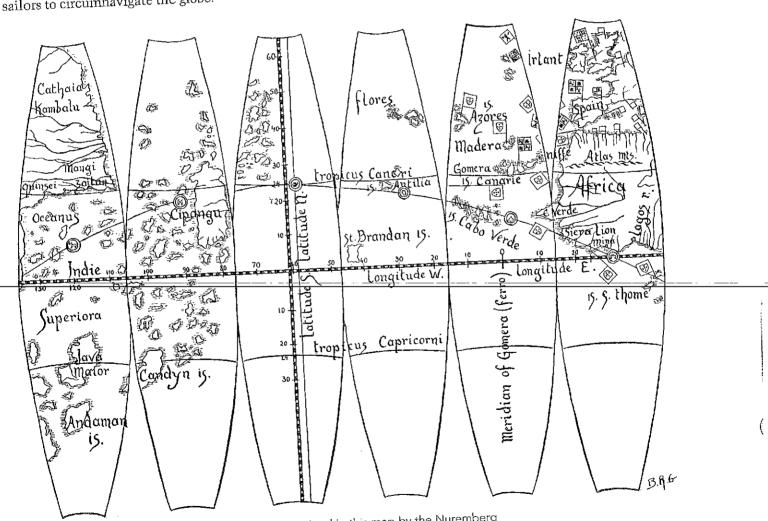
Naked, friendly natives met Columbus and his crew on the beaches of the New World. They were Taino Indians, who spoke a variant of a language known as Arawak. Believing the island on which he landed to be the East Indies, Columbus called these people Indians, a name that stuck with Europeans even after they realized he had actually

discovered a new continent. The natives' generosity amazed Columbus, as they freely gave his men all the corn, yams, and sexual favors they desired. "They never say no," Columbus marveled. He also observed how easily the Spanish could enslave them.

On the heels of Columbus, Amerigo Vespucci (1451–1512), after whom America is named, and Ferdinand Magellan (1480–1521) explored the coast-line of South America. Their travels proved that the new lands Columbus had discovered were an entirely unknown continent that opened on the still greater Pacific Ocean. Magellan, who was continuing the search for a westward route to the Indies, made it all the way around South America and across the Pacific to the Philippines, where he was killed in a skirmish with the inhabitants. The remnants of his squadron eventually sailed on to Spain, making them the first sailors to circumnavigate the globe.

Intended and unintended consequences Columbus's first voyage marked the beginning of more than three centuries of a vast Spanish empire in the Americas. What began as voyages of discovery became expeditions of conquest, not unlike the warfare Christian Aragon and Castile waged against Islamic Moors. Those wars had just ended in 1492, and their conclusion imbued the early Spanish explorers with a zeal for conquering and converting non-Christian peoples.

Much to the benefit of Spain, the voyages of discovery created Europe's largest and longest-surviving trading bloc and spurred other European countries to undertake their own colonial ventures. The wealth extracted from its American possessions financed Spain's commanding role in the religious and political wars of the sixteenth and seventeenth centuries, while fueling a Europe-wide economic expansion.



What Columbus knew of the world in 1492 was contained in this map by the Nuremberg geographer Martin Behaim, creator of the first spherical globe of the earth. The ocean section of Behaim's globe is reproduced here. Departing the Canary Islands (in the second section from the right), Columbus expected his first major landfall to be Japan (Cipangu, in the second section from the left). When he landed at San Salvador, he thought he was on the outer island of Japan. Thus, when he arrived in Cuba, he thought he was in Japan. From ADMIRAL OF THE OCEAN SEA by Samuel Eliot Morison. Copyright © 1942 by Samuel Eliot Morison, Copyright © renewed 1970 by Samuel Eliot Morison. By permission of Little, Brown and Company, (Inc.)

European expansion also had a profound biological impact. Europeans introduced numerous new species of fruits, vegetables, and animals into the Americas, and brought American species back to Europe. European expansion also spread European diseases. Vast numbers of Native Americans died from measles and smallpox epidemics, while Europeans died from a virulent form of syphilis that may have originated in the Americas.

For the Native Americans, the voyages of discovery were the beginning of a long history of conquest, disease, and slave labor they could neither evade nor survive. In both South and North America, Spanish rule left a lasting imprint of Roman Catholicism, economic dependency, and hierarchical social structure, all still visible today. (See Chapter 18.)

# THE SPANISH EMPIRE IN THE NEW WORLD

When the first Spanish explorers arrived, the Aztec Empire dominated Mesoamerica, which stretches from Central Mexico to Guatemala, and the Inca Empire dominated Andean South America. Both were rich, and their conquest promised the Spanish the possibility of acquiring large quantities of gold.

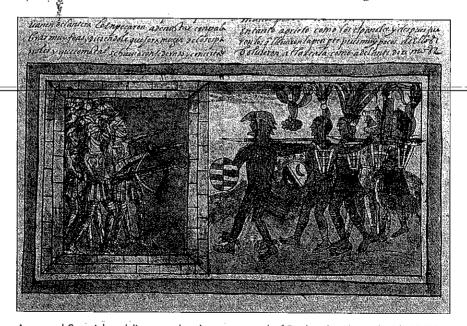
The Aztecs in Mexico The forebears of the Aztecs had arrived in the Valley of Mexico early in the twelfth century, where they lived as a subservient

people. In 1428, however, they began a period of imperial expansion. By the Spanish conquest, the Aztecs ruled almost all of central Mexico from their capital Tenochtitlán (modernday Mexico Cityl. The Aztecs demanded heavy tribute in goods and labor from their subjects and, believing the gods must literally be fed with human blood to guarantee sunshine and fertility, they also took thousands of captives each year for human sacrifice. These policies bred resentment and fear among the subject peoples.

In 1519, Hernán Cortés (1485–1547) landed in Mexico with about five hundred men and a few horses. He opened communication with Moctezuma II (1466–1520), the Aztec emperor. Moctezuma may initially have believed Cortés

to be the god Quetzalcoatl, who, according to legend, had been driven away centuries earlier but had promised to return. Whatever the reason. Moctezuma hesitated to confront Cortés, attempting at first to appease him with gold, which only whetted Spanish appetites. Cortés forged alliances with the Aztecs' subject peoples, most importantly, with Tlaxcala, an independent state and traditional enemy of the Aztecs. His forces then marched on Tenochtitlán, where Moctezuma welcomed him. Cortés soon seized Moctezuma, who died in unexplained circumstances. The Aztecs' wary acceptance of the Spaniards turned to open hostility. The Spaniards were driven from Tenochtitlán and were nearly wiped out. but they returned and laid siege to the city. The Aztecs, under their last ruler, Cuauhtemoc (ca. 1495-1525), resisted fiercely, but were finally defeated in 1521. Cortés razed Tenochtitlán, building his own capital over its ruins, and proclaimed the Aztec Empire to be New Spain,

The Incas in Peru The second great Native American civilization the Spanish conquered was that of the Incas in the highlands of Peru. Like the Aztecs, the Incas also began to expand rapidly in the fifteenth century and, by the time of the Spanish conquest, controlled an enormous empire. Unlike the Aztecs, who extracted tribute from their subject peoples, the Incas compelled their subjects to work for the state on a regular basis.



Armored Spanish soldiers, under the command of Pedro de Alvarado (d. 1541) and bearing crossbows, engage unprotected and crudely armed Aztecs, who are nonetheless portrayed as larger than life by Spanish artist Diego Duran (16th century). Codex Duran: Pedro de Alvarado (c. 1485-1541), companion-at-arms of Hernando Cortés (1845-1547) besieged by Aztec warriors (vellum) by Diego Duran (16th Century), Codex Duran, Historia De Las Indias (16th century). Biblioteca Nacional, Madrid, Spain. The Bridgeman Art Library International Ltd.

In 1532, largely inspired by Cortés's example in Mexico, Francisco Pizarro (c. 1478–1541) landed on the western coast of South America with about 200 men to take on the Inca Empire. Pizarro lured Atahualpa (ca. 1500–1533), the Inca ruler, into a conference and then seized him, killing hundreds of Atahualpa's followers in the process. The imprisoned Atahualpa tried to ransom himself with a hoard of gold, but instead of releasing him, Pizarro executed him in 1533. The Spaniards then cap-

tured Cuzco, the Inca capital, but Inca resistance did not end until the 1570s.

The conquests of Mexico and Peru are among the most dramatic and brutal events in modern history. Small military forces armed with advanced weapons subdued, in a remarkably brief time, two powerful peoples. The spread of European diseases, especially smallpox, among the Native Americans, also aided the conquest. But beyond the drama and bloodshed, these conquests,

# A DEFENSE OF AMERICAN NATIVES



Bartolomé de Las Casas (1474–1566), a Dominican missionary to the New World, describes the native people of the islands of the Caribbean and their systematic slaughter by the Spanish.

■ Is Las Casas romanticizing the American natives! Does he truly respect their native culture and beliefs!

This infinite multitude of people was so created by God that they were without fraud . . . or malice. . . . Toward the Spaniards whom they serve, patient, meek, and peaceful, [they] lay aside all contentious and tumultuous thoughts, and live without any hatred or desire of revenge. The people are most delicate and tender, enjoying such a feeble constitution of body as does not permit them to endure labour.

. The[ir] nation [the West Indies] is very poor and indigent, possessing little, and by reason that they gape not after temporal goods, [being] neither proud nor ambitious. Their diet is such that the most holy hermit cannot feed more sparingly in the wildernesse. They go naked . . . and a poor shag mantle . . . is their greatest and their warmest covering. They lie upon mats; only those who have larger fortunes lie upon a kind of net which is tied at the four corners and so fasten'd to the roof, which the Indians in their natural language call Hamecks [hammocks]. They are of a very apprehensive and docile wit, and capable of all good learning, and very apt to receive our Religion, which when they have but once tasted [it], they are carried [off] with a very ardent and zealous desire to make further progress in it; so that I have heard divers Spaniards confess that they had nothing else to hinder them from enjoying heaven, but the ignorance of the true God.

To these quiet Lambs, endued with such blessed qualities, came the Spaniards like most cruel Tygres, Wolves, and Lions . . . for these forty years, minding nothing else but the slaughter of these unfortunate wretches ... [whom] they have so cruelly and inhumanely butchered, [so] that of three millions of people which Hispaniola [modern Haiti and the Dominican Republic] itself did contain, there are left remaining alive scarce three hundred persons. And the island of Cuba . . . lies wholly desert, untilled and ruined. The islands of St. John and Jamaica lie waste and desolate. The Lycayan islands neighboring to the north upon Cuba and Hispaniola . . . are now totally unpeopled and destroyed; the inhabitants thereof amounting to above 500,000 souls, partly killed, and partly forced away to work in other places. ... Other islands there were near the island of St. John, more than thirty in number,, which were totally made desert. All which islands . . . lie now altogether solitary without any people or inhabitant.

Bartolomé de Las Casas, The Tears of the Indians, trans. by John Phillips (1656), from reprint of original edition (Stanford, CA: Academic Reprints, n.d.), pp. 2-4.

as well as those of other Native American peoples, marked a fundamental turning point. Whole civilizations with long histories and enormous social, architectural, and technological achievements were destroyed. Native American cultures endured, accommodating themselves to European dominance, but there was never any doubt about which culture had the upper hand. In that sense, the Spanish conquests of the early sixteenth century marked the beginning of the transformation of South America into Latin America.

### THE CHURCH IN SPANISH AMERICA

Roman Catholic priests had accompanied the earliest explorers and the conquerors of the Native Americans. Steeped with the social and religious ideals of Christian humanism, these first clergy members believed they could foster Erasmus's concept of the "philosophy of Christ" in the New World. They were filled with zeal not only to convert the inhabitants to Christianity, but also to bring to them European learning and civilization.

Tension, however, existed between the early Spanish conquerors and the mendicant friars who sought to minister to the Native Americans. Without conquest, the church could not convert the Native Americans, but the priests often deplored the harsh conditions imposed on the native peoples. By far the most effective and outspoken clerical critic of the Spanish conquerors was Bartolomé de Las Casas (1474–1566), a Dominican. He contended that conquest was not necessary for conversion. One result of his campaign was new royal regulations to protect the Indians after 1550.

Another result of Las Casas's criticism was the emergence of the "Black Legend," according to which all Spanish treatment of the Native Americans was unprincipled and inhumane. (See "A Defense of American Natives.") Those who created this view of Spanish behavior drew heavily on Las Casas's writings. Although substantially true, the "Black Legend" exaggerated the case against Spain. Certainly the rulers of the native empires—as the Aztec demands for sacrificial victims attest—had often themselves been exceedingly cruel to their subjects.

By the end of the sixteenth century, the church in Spanish America had become largely an institution upholding the colonial status quo. Although individual priests defended the communal rights of Indian peoples, the colonial church prospered as the Spanish elite prospered by exploiting the resources and peoples of the New World. The church became a great landowner through crown

grants and bequests from Catholics who died in the New World. The monasteries took on an economic as well as a spiritual life of their own. Whatever its concern for the spiritual welfare of the Native Americans, the church remained one of the indications that Spanish America was a conquered world. Those who spoke for the church did not challenge Spanish domination or any but the most extreme modes of Spanish economic exploitation. By the end of the colonial era in the late eighteenth century, the Roman Catholic Church had become one of the most conservative forces in Latin America.

#### THE ECONOMY OF EXPLOITATION

From the beginning, both the Native Americans and their lands were drawn into the Atlantic economy and the world of competitive European commercialism. For the Indians of Latin America and somewhat later, the black peoples of Africa, that drive for gain meant forced labor.

The colonial economy of Latin America had three major components: mining, agriculture, and shipping. Each involved labor, servitude, and the intertwining of the New World economy with that of Spain.

Mining The early conquistadores, or "conquerors," were primarily interested in gold, but by the mid-sixteenth century, silver mining provided the chief source of metallic wealth. The great mining centers were Potosí in Peru and somewhat smaller sites in northern Mexico. The Spanish crown received one-fifth (the quinto) of all mining revenues. For this reason, the crown maintained a monopoly over the production and sale of mercury, required in the silver-smelting process. Exploring for silver continued throughout the colonial era. Its production by forced labor for the benefit of Spaniards and the Spanish crown epitomized the wholly extractive economy that stood at the foundation of colonial life.

Agriculture The major rural and agricultural institution of the Spanish colonies was the hacienda, a large landed estate owned by persons originally born in Spain (peninsulares) or persons of Spanish descent born in America (creoles). Laborers on the hacienda were usually subject in some legal way to the owner and were rarely free to move from working for one landowner to another.

The hacienda economy produced two major products: foodstuffs for mining areas and urban centers and leather goods used in mining machinery. Both farming and ranching were subordinate to the mining economy.

# MONTAIGNE ON "CANNIBALS" IN FOREIGN LANDS

The French philosopher Michel de Montaigne (1533–1592) had seen a Brazilian native in Rouen in 1562, who was alleged to be a cannibal. The experience gave rise to an essay on the subject of what constitutes a "savage." Montaigne concluded that no people on earth were more barbarous than Europeans, who take natives of other lands captive.

Is Montaigne romanticizing the New World natives? Is he being too hard on Europeans! Had the Aztecs or Incas had the ability to discover and occupy Europe, would they have enslaved and exploited Europeans!

Tow, to return to my subject, I think there is nothing barbarous and savage in that nation [Brazil], from what I have been told . . . . Each man calls barbarism whatever is not his own practice; for indeed it seems we have no other test of truth and reason than the example and pattern of the opinions and customs of the country we live in. There [we] always [find] the perfect religion, the perfect government, the perfect and accomplished manners in all things. Those [foreign] people are wild, just as we call wild the fruits that Nature has produced by herself and in her normal course, where really it is those that we have changed artificially and led astray from the common order that we should rather call wild. The former retain alive and vigorous their genuine virtues and properties, which we have debased in the latter by adapting them to gratify our corrupted taste. And yet for all that, the savor and delicacy of some uncultivated fruits of those countries is quite as excellent, even to our taste, as that of our own. It is not reasonable that [our human] art should win the place of honor over our great and powerful mother Nature. We have so overloaded the beauty and richness of her works by our inventions that we have quite smothered her. Yet wherever her purity shines forth, she wonderfully puts to shame our vain and frivolous attempts: "Ivy comes readier without our care;/In lonely caves the arbutus grows more fair;/No art with artless bird song can compare." All our efforts cannot even succeed in reproducing the nest of the tiniest little bird, its contexture, its beauty and convenience; or even the web of the puny spider. All things, says Plato, are produced by nature, by fortune, or by art; the greatest and most beautiful by one or the other of the first two, the least and most imperfect by the last.

These nations, then, seem to me "barbarous" in this sense, that they have been fashioned very little by the human mind, and are still very close to their original naturalness. The laws of nature still rule them, very little corrupted by ours, and they are in such a state of purity that I am sometimes vexed that they were unknown earlier, in the days when there were men able to judge them better than we.

<sup>1</sup>Propertius, 1.11.10.

<sup>2</sup>Laws, 10.

From The Complete Essays of Montaigne, trans. by Donald M. Frame (Stanford: Stanford University Press, 1958), pp. 153-154.

In the West Indies, the basic agricultural unit was the plantation. In Cuba, Hispaniola, Puerto Rico, and other islands, the labor of black slaves from Africa produced sugar to supply an almost insatiable demand for the product in Europe.

A final major area of economic activity in the Spanish colonies was urban service occupations, including government offices, the legal profession, and shipping. Those who worked in these occupations were either *peninsulares* or *creoles*, with the former dominating more often than not.

Labor Servitude All of this extractive and exploitive economic activity required labor, and the Spanish in the New World decided early that the native population would supply it. A series of

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social devices was used to draw them into the new economic life the Spanish imposed.

The first of these was the **encomienda**, a formal grant of the right to the labor of a specific number of Indians, usually a few hundred, but sometimes thousands, for a particular period of time. The *encomienda* was in decline by the mid-sixteenth century because the Spanish monarchs feared its holders might become too powerful. There were also humanitarian objections to this particular kind of exploitation of the Indians.

The passing of the *encomienda* led to a new arrangement of labor servitude: the *repartimiento*. This device required adult male Indians to devote a certain number of days of labor annually to Spanish economic enterprises. In the mines of Peru, the *repartimiento* was known as the *mita*, the Inca term for their labor tax. *Repartimiento* service was often harsh, and some Indians did not survive their stint. The limitation on labor time led some Spanish managers to abuse their workers on the assumption that fresh workers would soon replace them.

The eventual shortage of workers and the crown's pressure against extreme versions of forced labor led to the use of free labor. The freedom, however, was more in appearance than reality. Free Indian laborers were required to purchase goods from the landowner or mine owner, to whom they became forever indebted. This form of exploitation, known as debt peonage, continued in Latin America long after the nineteenth-century wars of liberation.

Black slavery was the final mode of forced or subservient labor in the New World. Both the Spanish and the Portuguese had earlier used African slaves in Europe. The sugar plantations of the West Indies and Brazil now became the major center of black slavery.

The conquest, the forced labor of the economy of exploitation, and the introduction of European diseases had devastating demographic consequences for the Native Americans. For centuries, Europeans had lived in a far more complex human and animal environment than Native Americans did. They had frequent contact with different ethnic and racial groups and with a variety of domestic animals. Such interaction helped them develop strong immune systems that enabled them to survive measles, smallpox, and typhoid. Native Americans, by contrast, grew up in a simpler and more sterile environment and were defenseless against these diseases. Within a generation, the native population of New Spain (Mexico) was reduced to an estimated 8 percent of its numbers, from 25 million to 2 million.

#### THE IMPACT ON EUROPE

Among contemporary European intellectuals, Columbus's discovery increased skepticism about the wisdom of the ancients. If traditional knowledge about the world had been so wrong geographically, how trustworthy was it on other matters? For many, Columbus's discovery demonstrated the folly of relying on any fixed body of presumed authoritative knowledge. Both in Europe and in the New World, there were those who condemned the explorers' treatment of American natives, as more was learned about their cruelty. (See "Montaigne on 'Cannibals' in Foreign Lands.") Three centuries later, however, on the third centenary of Columbus's discovery (1792), the great thinkers of the age lionized Columbus for having opened up new possibilities for civilization and morality. By establishing new commercial contacts among different peoples of the world, Columbus was said to have made cooperation, civility, and peace among them indispensable. Enlightenment thinkers drew parallels between the discovery of America and the invention of the printing press-both portrayed as world-historical events opening new eras in communication and globalization, an early multicultural experiment.4

On the material side, the influx of spices and precious metals into Europe from the new Portuguese and Spanish Empires was a mixed blessing. It contributed to a steady rise in prices during the sixteenth century that created an inflation rate estimated at two percent a year. The new supply of bullion from the Americas joined with enlarged European production to increase greatly the amount of coinage in circulation, and this increase, in turn, fed inflation. Fortunately, the increase in prices was by and large spread over a long period and was not sudden. Prices doubled in Spain by 1550, quadrupled by 1600. In Luther's Wittenberg in Germany, the cost of basic food and clothing increased almost 100 percent between 1519 and 1540. Generally, wages and rents remained well behind the rise in prices.

The new wealth enabled governments and private entrepreneurs to sponsor basic research and expansion in the printing, shipping, mining, textile, and weapons industries. There is also evidence of large-scale government planning in such ventures as the French silk industry and the Habsburg-Fugger development of mines in Austria and Hungary.

In the thirteenth and fourteenth centuries, capitalist institutions and practices had already begun

<sup>&</sup>lt;sup>4</sup>Cf. Anthony Pagden, "The Impact of the New World on the Old: The History of an Idea," *Renaissance and Modern Studies* 30 (1986): 1–11.

to develop in the rich Italian cities (for example, the Florentine banking houses of Bardi and Peruzzi). Those who owned the means of production, either privately or corporately, were clearly distinguished from the workers who operated them. Wherever possible, entrepreneurs created monopolies in basic goods. High interest was charged on loans—actual, if not legal, usury. The "capitalist" virtues of thrift, industry, and orderly planning were everywhere in evidence—all intended to permit the free and efficient accumulation of wealth.

The late fifteenth and the sixteenth centuries saw the maturation of this type of capitalism together with its attendant social problems. The Medicis of Florence grew rich as bankers of the pope, as did the Fuggers of Augsburg, who bankrolled Habsburg rulers. The Fuggers lent Charles I of Spain more than 500,000 florins to buy his election as the Holy Roman Emperor in 1519 and boasted they had created the emperor. The new wealth and industrial expansion also raised the expectations of the poor and the ambitious and heightened the reactionary tendencies of the wealthy. This effect, in turn, aggravated the traditional social divisions between the clergy and the laity, the urban patriciate and the guilds, and the landed nobility and the agrarian peasantry.

These divisions indirectly prepared the way for the Reformation as well, by making many people critical of traditional institutions and open to new ideas—especially those that seemed to promise greater freedom and a chance at a better life.



### IN PERSPECTIVE

As it recovered from national wars during the late Middle Ages, Europe saw the establishment of permanent centralized states and regional governments. The foundations of modern France, Spain, England, Germany, and Italy were laid at this time. As rulers imposed their will on regions outside their immediate domains, the "one" progressively took control of the "many," and previously divided lands came together as nations.

Thanks to the work of Byzantine and Islamic scholars, ancient Greek science and scholarship found its way into the West in these centuries. Europeans had been separated from their classical cultural heritage for almost eight centuries. No other world civilization had experienced such a disjunction from its cultural past. The discovery of classical civilization occasioned a rebirth of intellectual and artistic activity in both southern and northern Europe. One result was the splendor of

the Italian Renaissance, whose scholarship, painting, and sculpture remain among Western Europe's most impressive achievements.

Ancient learning was not the only discovery of the era. New political unity spurred both royal greed and national ambition. By the late fifteenth century, Europeans were in a position to venture far away to the shores of Africa, the southern and eastern coasts of Asia, and the New World of the Americas. European discovery was not the only outcome of these voyages: The exploitation of the peoples and lands of the New World revealed a dark side of Western civilization. Some penalties were paid even then. The influx of New World gold and silver created new human and economic problems on the European mainland. Some Europeans even began to question their civilization's traditional values.

### AP\* TEST PREP

- 1. Which country took the lead in exploration in the fifteenth century?
  - a. Portugal
- d. France
- b. Spain
- e. Austria
- c. England
- 2. Renaissance society first took on its distinctive shape in the:
  - a. large towns of England and Scotland.
  - b. city-states of southern France.
  - c. papal states.
  - d. principalities of northern Germany.
  - e. merchant cities of Italy.
- 3. Erasmus wanted to:
  - a. lead a revolt against the Catholic church.
  - b. return Europe to the days of the Roman Empire.
  - c. elevate the Classics above all other literature.
  - d. unite Classical and Christian ideals.
  - e. put an end to all social hierarchy.
- 4. The writings of Las Casas contributed to the emergence of:
  - a. a new kind of fanatical conquistador.
  - b. an organized opposition to European expansion.
  - c. the Black Legend.
  - d. large-scale Indian revolts in the New World.
  - e. a new European consensus about the immorality of conquest.
- 5. The encomienda was:
  - a. a large estate in the New World.
  - b. a charter granting the right to found a colony.
  - c. the forced transfer of criminals from Spain to the New World.